

MPRI 2014/15

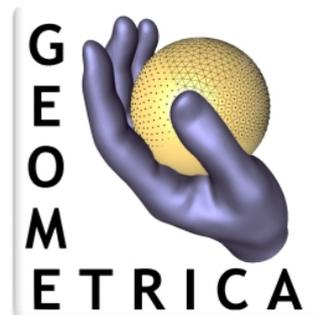
# A sampling theory for compact sets

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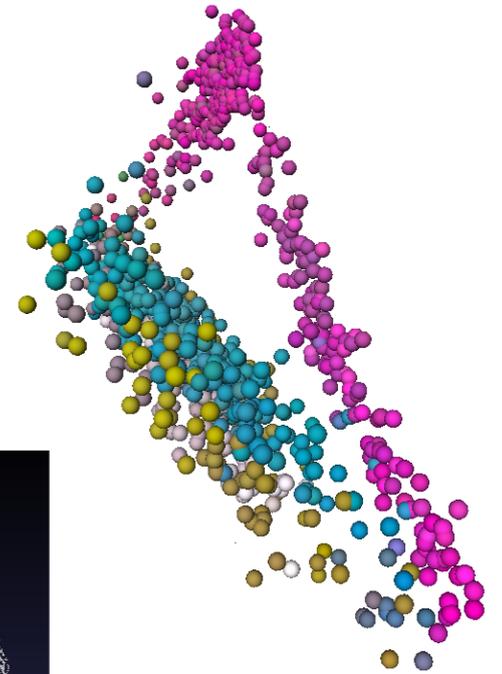
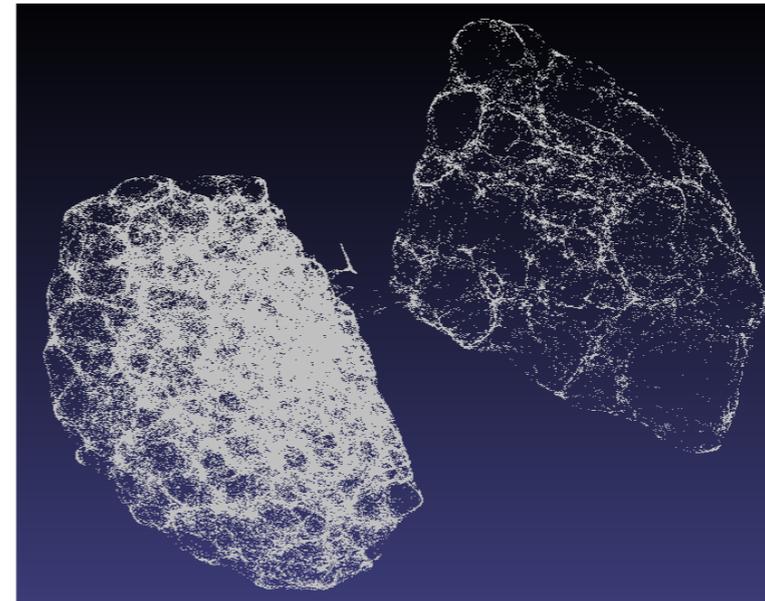
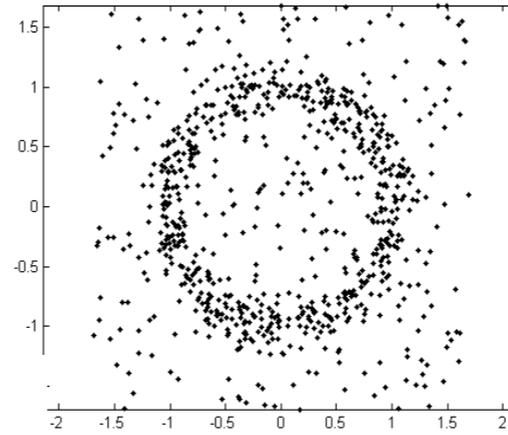
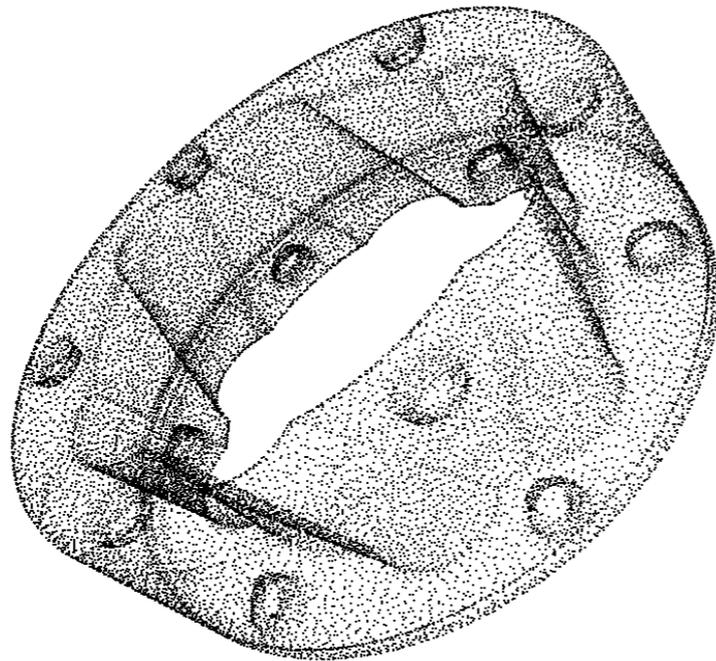
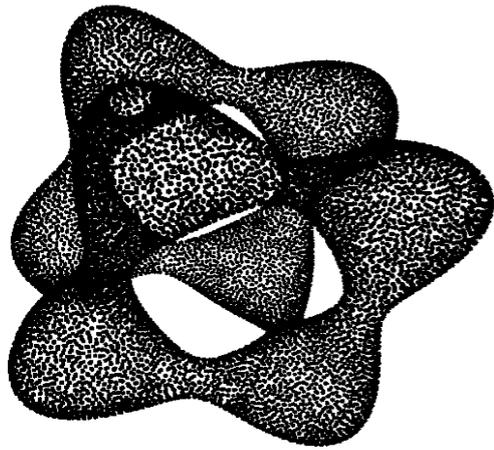
To download these slides:

<http://geometrica.saclay.inria.fr/team/Fred.Chazal/Teaching/DistanceFunctions.pdf>

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# Introduction and motivations

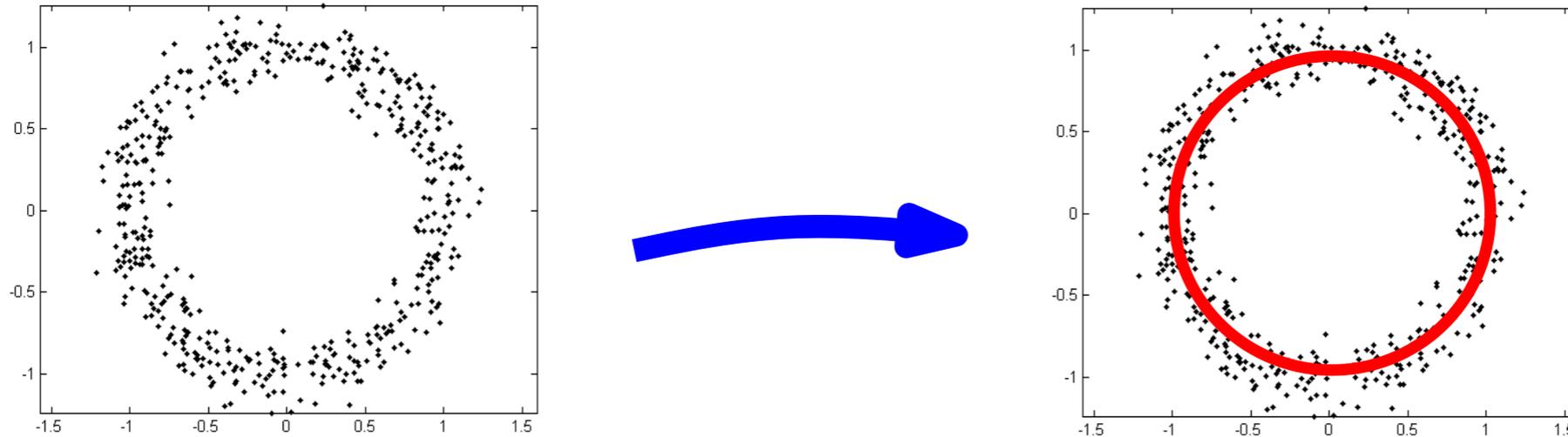


What can we say about the topology/geometry of spaces known only through a finite set of measurements?

What is the relevant topology/geometry of a point cloud data set?

**Motivations:** Reconstruction, Manifold Learning and NLDR, Clustering and Segmentation,...

# Geometric Inference



**Question:** Given an approximation  $C$  of a geometric object  $K$ , is it possible to reliably estimate the topological and geometric properties of  $K$ , knowing only the approximation  $C$ ?

**Question \*:** Given a point cloud  $C$  (or some other more complicated set), is it possible to infer some robust topological or geometric information of  $C$ ?

- The answer depends on:
  - the considered class of objects (no hope to get a positive answer in full generality),
  - a notion of distance between the objects (approximation).

# Distance functions for geometric inference

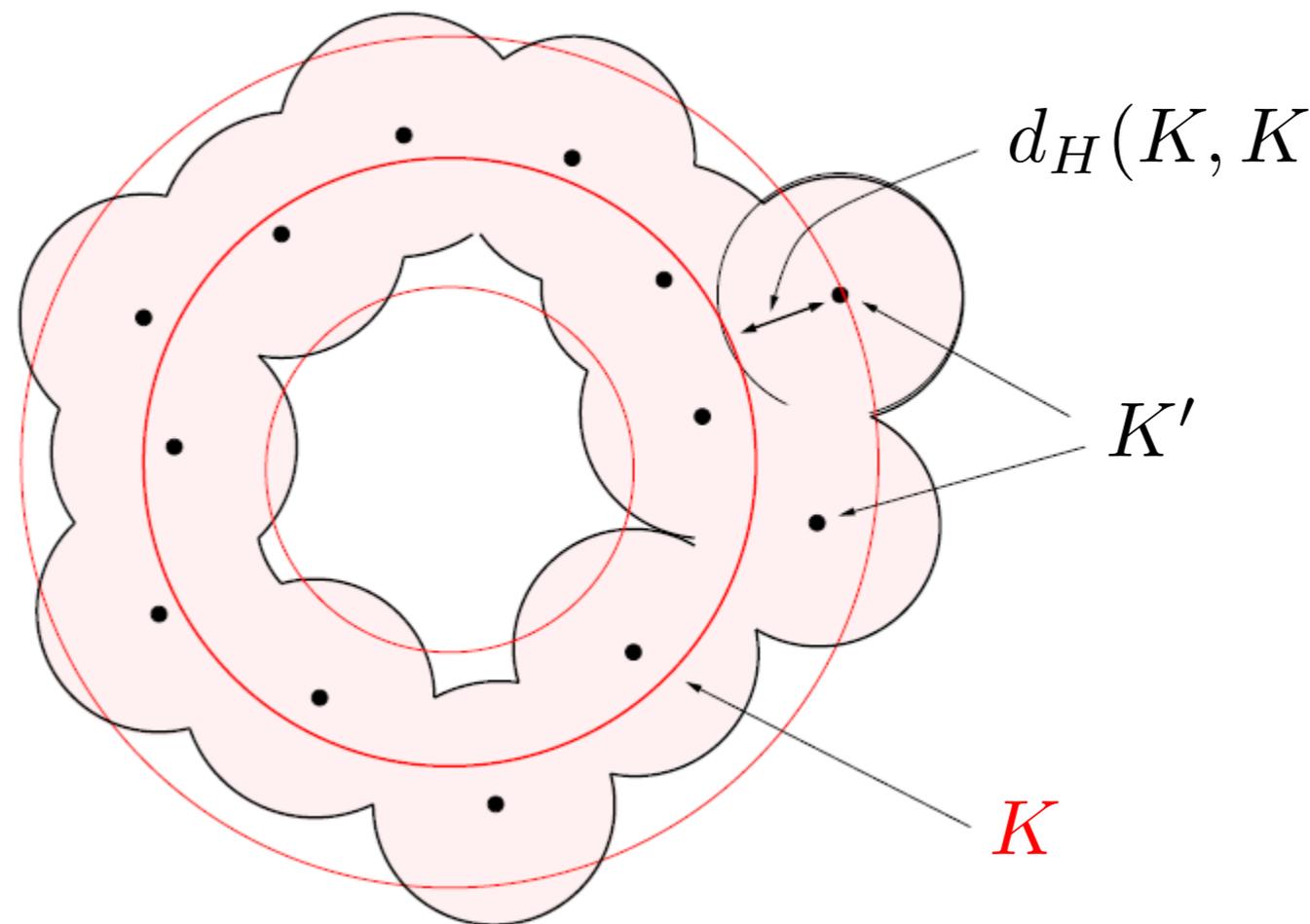
**Considered objects:** compact subsets  $K$  of  $\mathbb{R}^d$

**Distance:**

distance function to a compact  $K \subset \mathbb{R}^d$ :  $d_K : x \rightarrow \inf_{p \in K} \|x - p\|$

Hausdorff distance between two compact sets:

$$d_H(K, K') = \sup_{x \in \mathbb{R}^d} |d_K(x) - d_{K'}(x)|$$



# Distance functions for geometric inference

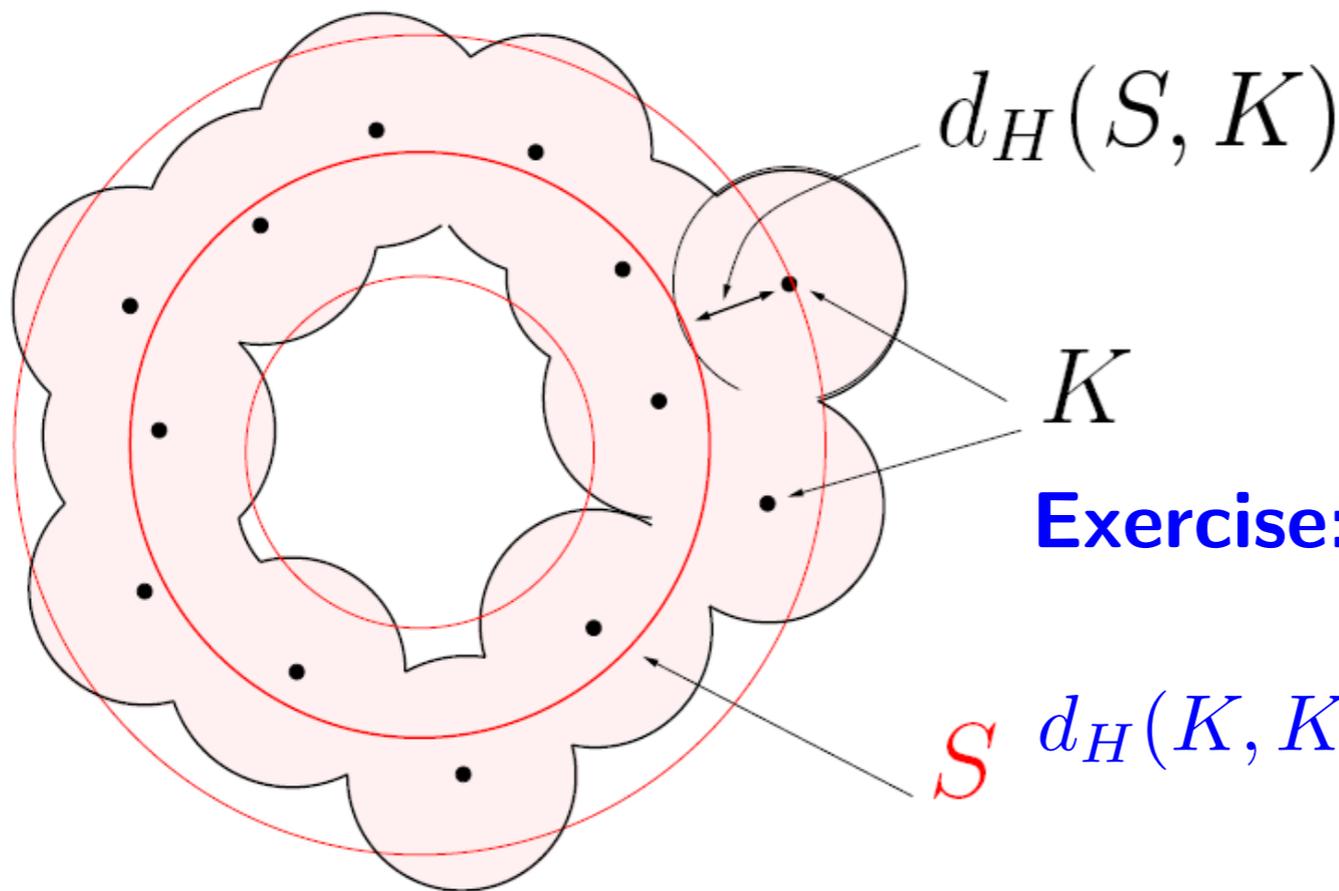
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**Exercise:** Show that

$$d_H(K, K') = \max \left( \sup_{y \in K'} d_K(y), \sup_{z \in K} d_{K'}(z) \right)$$

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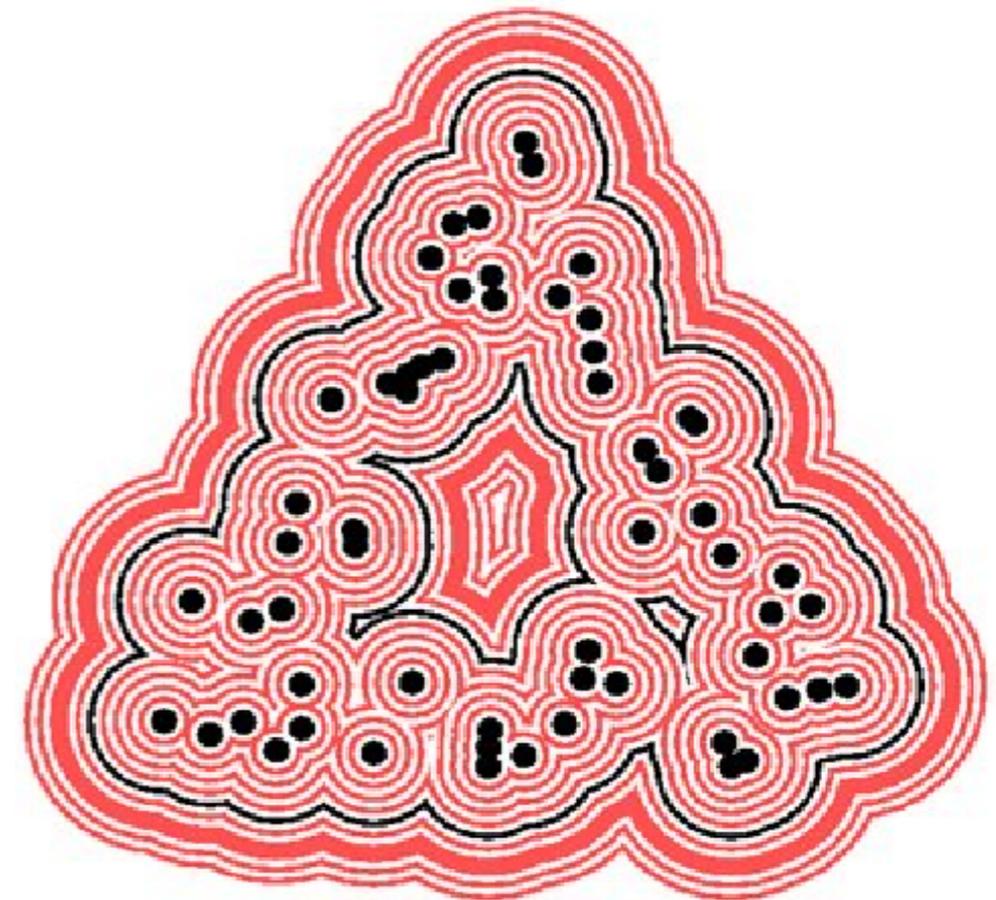
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- Replace  $K$  and  $C$  by  $d_K$  and  $d_C$
- Compare the topology of the offsets  
 $K^r = d_K^{-1}([0, r])$  and  $C^r = d_C^{-1}([0, r])$



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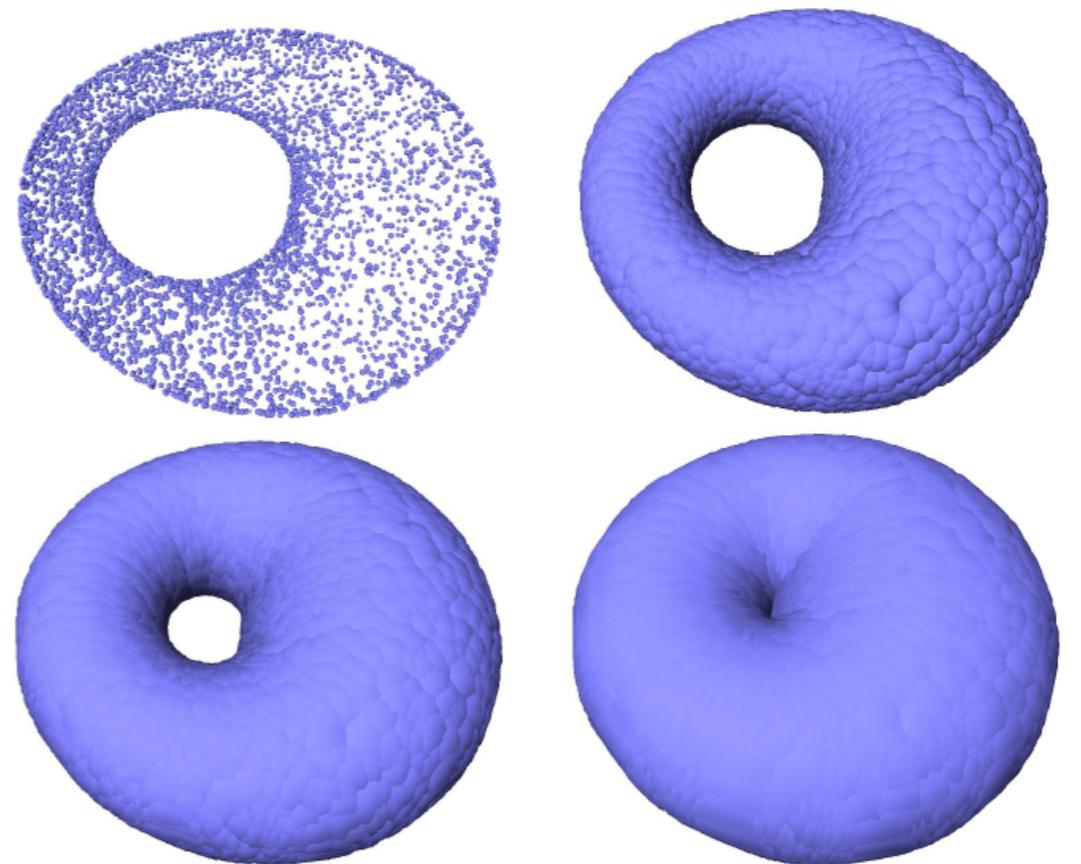
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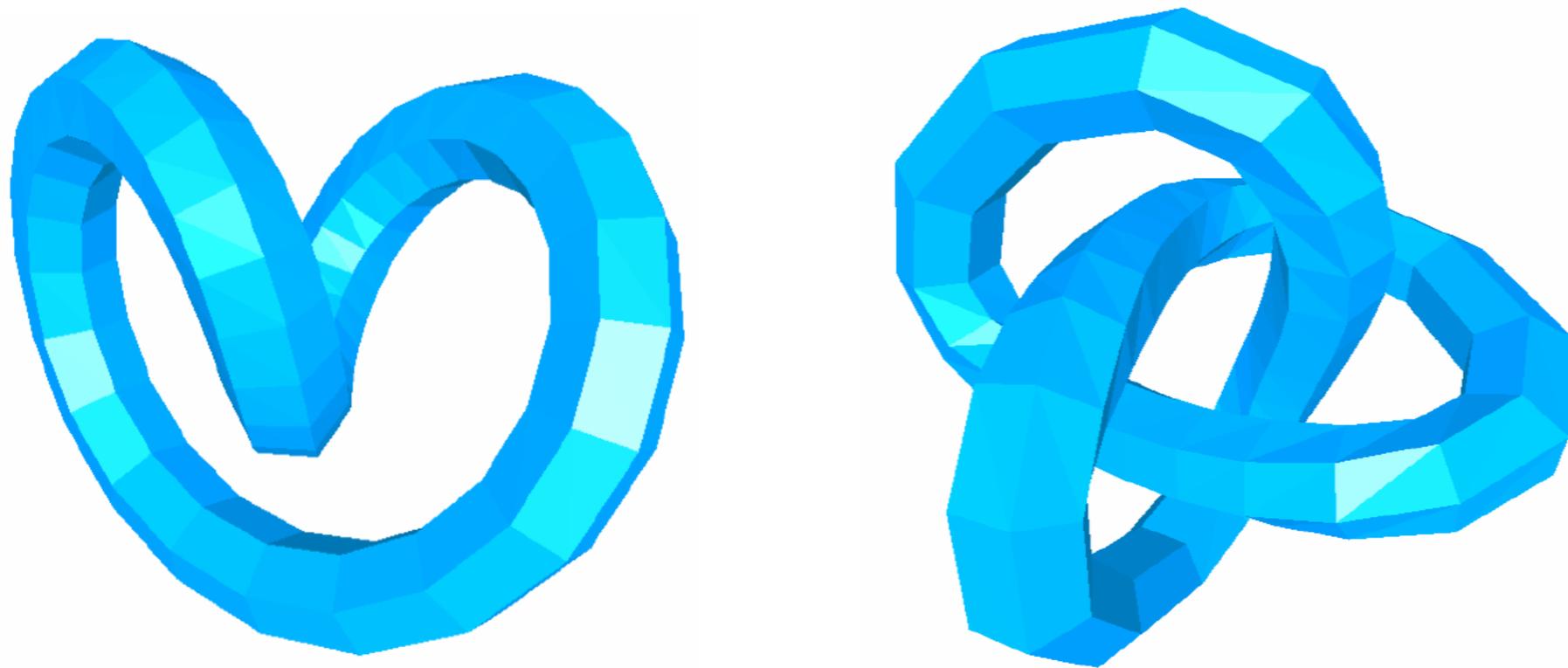
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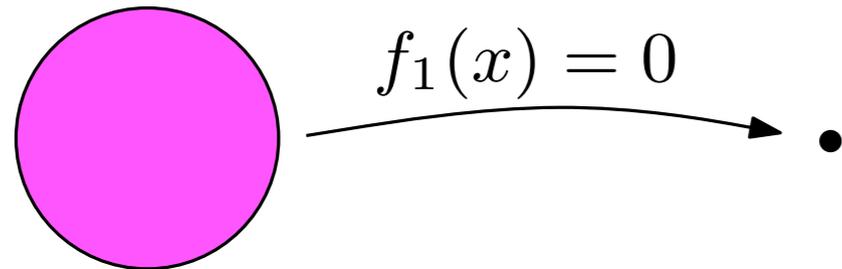
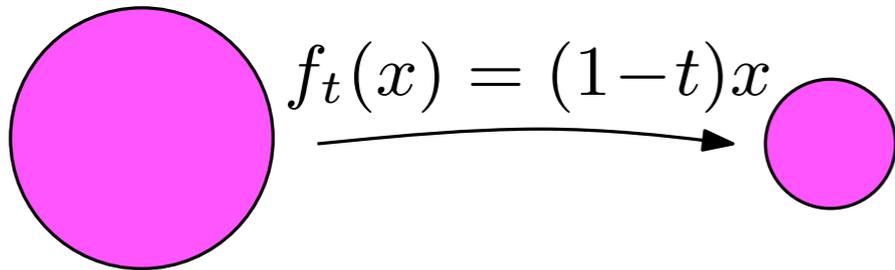
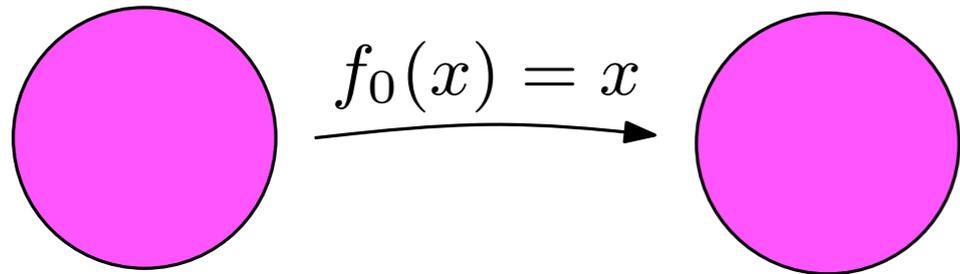


# Topology: homeomorphy and isotopy



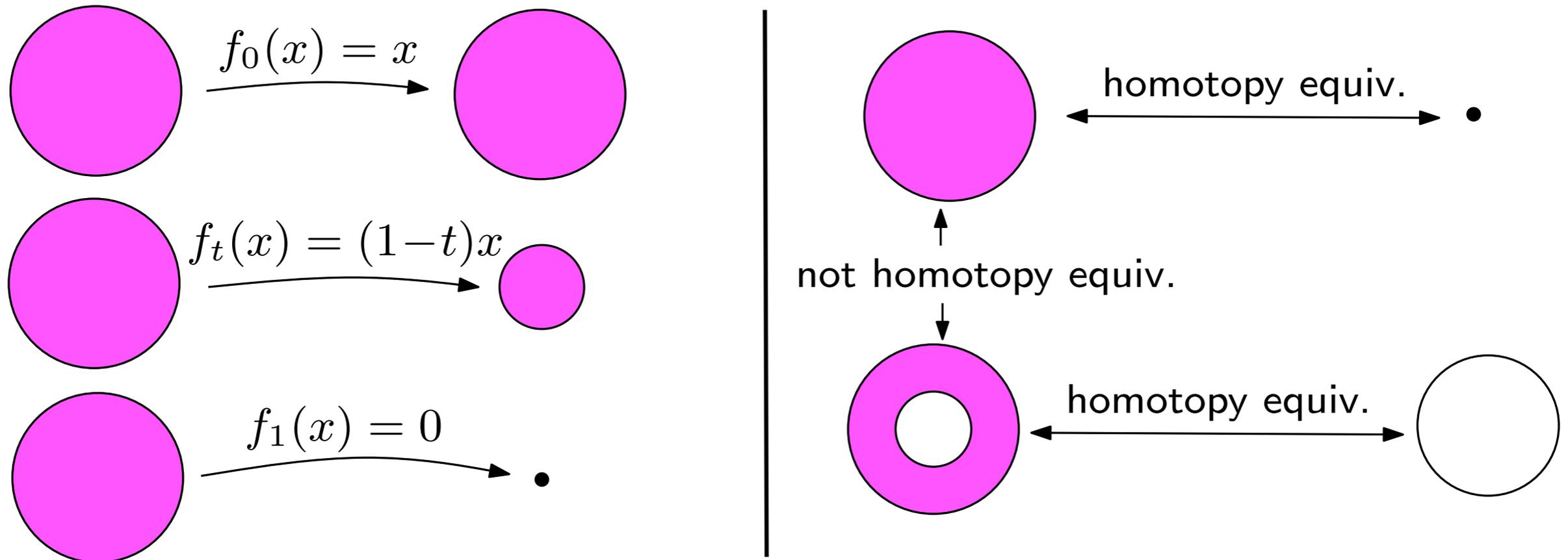
- $X$  and  $Y$  are **homeomorphic** if there exists a bijection  $h : X \rightarrow Y$  s. t.  $h$  and  $h^{-1}$  are continuous.
- $X, Y \subset \mathbb{R}^d$  are **isotopic** if there exists a continuous map  $F : X \times [0, 1] \rightarrow \mathbb{R}^d$  s. t.  $F(., 0) = Id_X$ ,  $F(X, 1) = Y$  and  $\forall t \in [0, 1]$ ,  $F(., t)$  is an homeomorphism on its image.
- $X, Y \subset \mathbb{R}^d$  are **ambient isotopic** if there exists a continuous map  $F : \mathbb{R}^d \times [0, 1] \rightarrow \mathbb{R}^d$  s. t.  $F(., 0) = Id_{\mathbb{R}^d}$ ,  $F(X, 1) = Y$  and  $\forall t \in [0, 1]$ ,  $F(., t)$  is an homeomorphism of  $\mathbb{R}^d$ .

# Topology: homotopy type



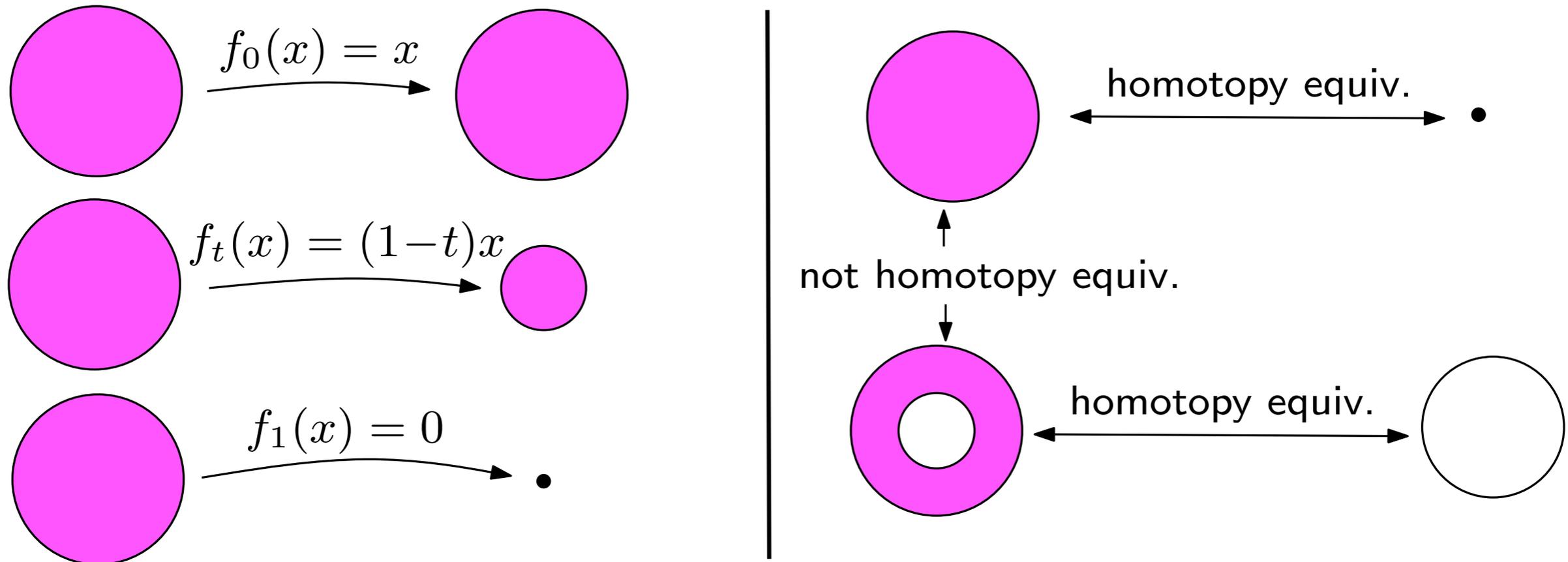
- Two maps  $f_0 : X \rightarrow Y$  and  $f_1 : X \rightarrow Y$  are **homotopic** if there exists a continuous map  $H : [0, 1] \times X \rightarrow Y$  s. t.  $\forall x \in X, H(0, x) = f_0(x)$  and  $H(1, x) = f_1(x)$ .
- $X$  and  $Y$  have the **same homotopy type** (or are **homotopy equivalent**) if there exists continuous maps  $f : X \rightarrow Y$  and  $g : Y \rightarrow X$  s. t.  $g \circ f$  is homotopic to  $Id_X$  and  $f \circ g$  is homotopic to  $Id_Y$ .

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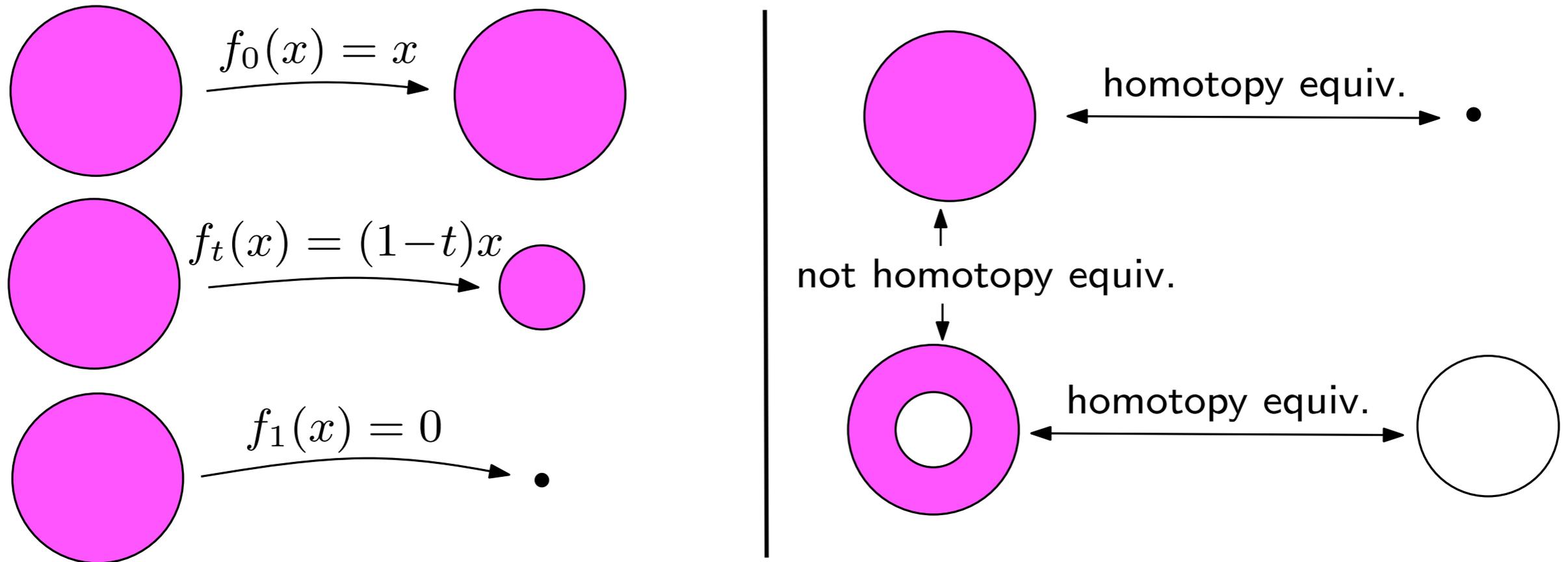
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$X$  and  $Y$  homotopy equivalent  $\Rightarrow X$  and  $Y$  have isomorphic homotopy and homology groups.

# Topology: homotopy type



If  $Y \subset X$  and if there exists a continuous map  $H : [0, 1] \times X \rightarrow X$  s.t.:

- i)*  $\forall x \in X, H(0, x) = x,$
- ii)*  $\forall x \in X, H(1, x) \in Y$
- iii)*  $\forall y \in Y, \forall t \in [0, 1], H(t, y) \in Y,$

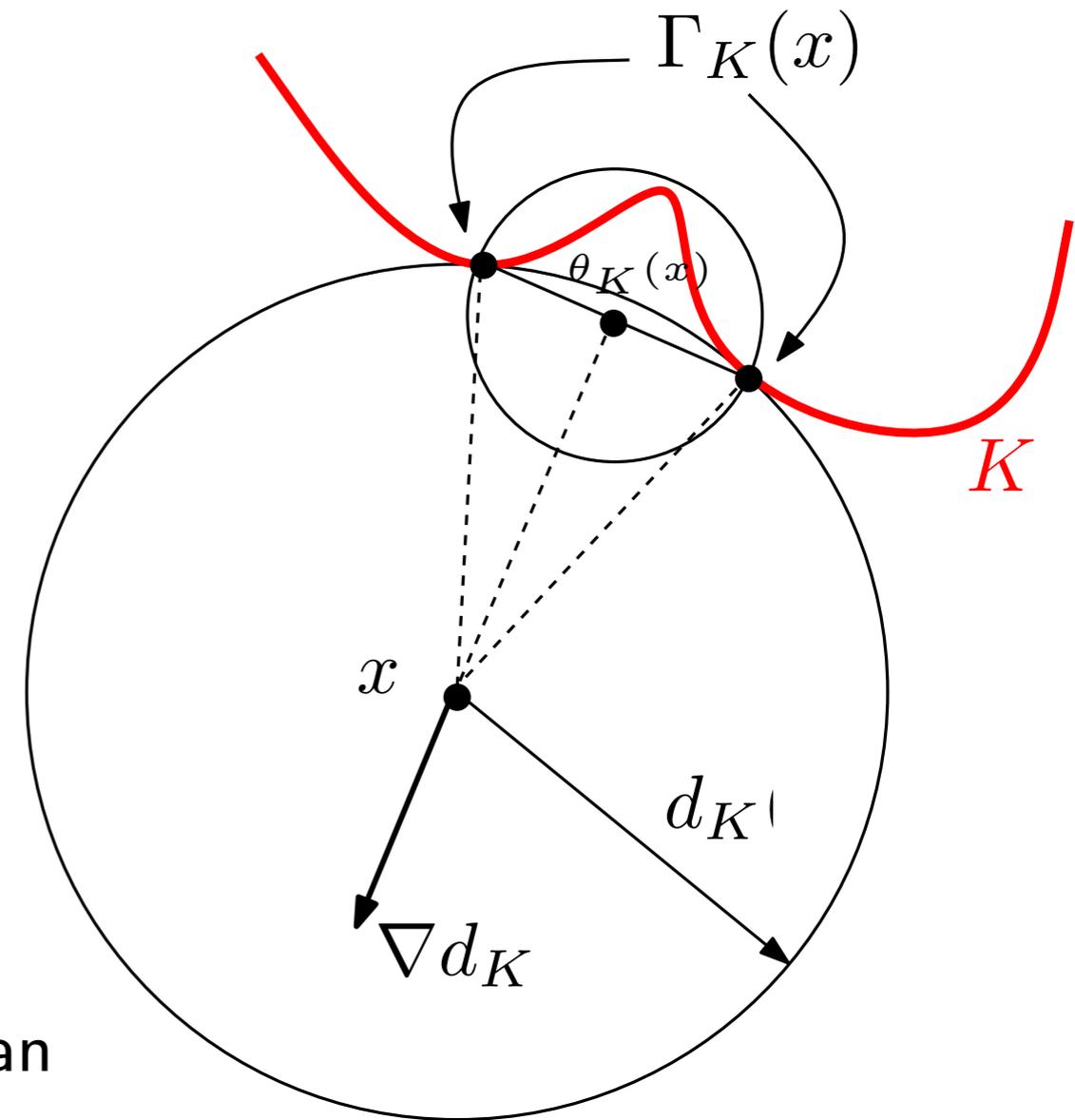
then  $X$  and  $Y$  are homotopy equivalent. If one replaces condition *iii)* by  $\forall y \in Y, \forall t \in [0, 1], H(t, y) = y$  then  $H$  is a **deformation retract** of  $X$  onto  $Y$ .

# The gradient of the distance function

- $\Gamma_K(x) = \{y \in K : d(x, y) = d_K(x)\}$
- $\theta_K(x)$ : center and radius of the smallest ball enclosing  $\Gamma_K(x)$

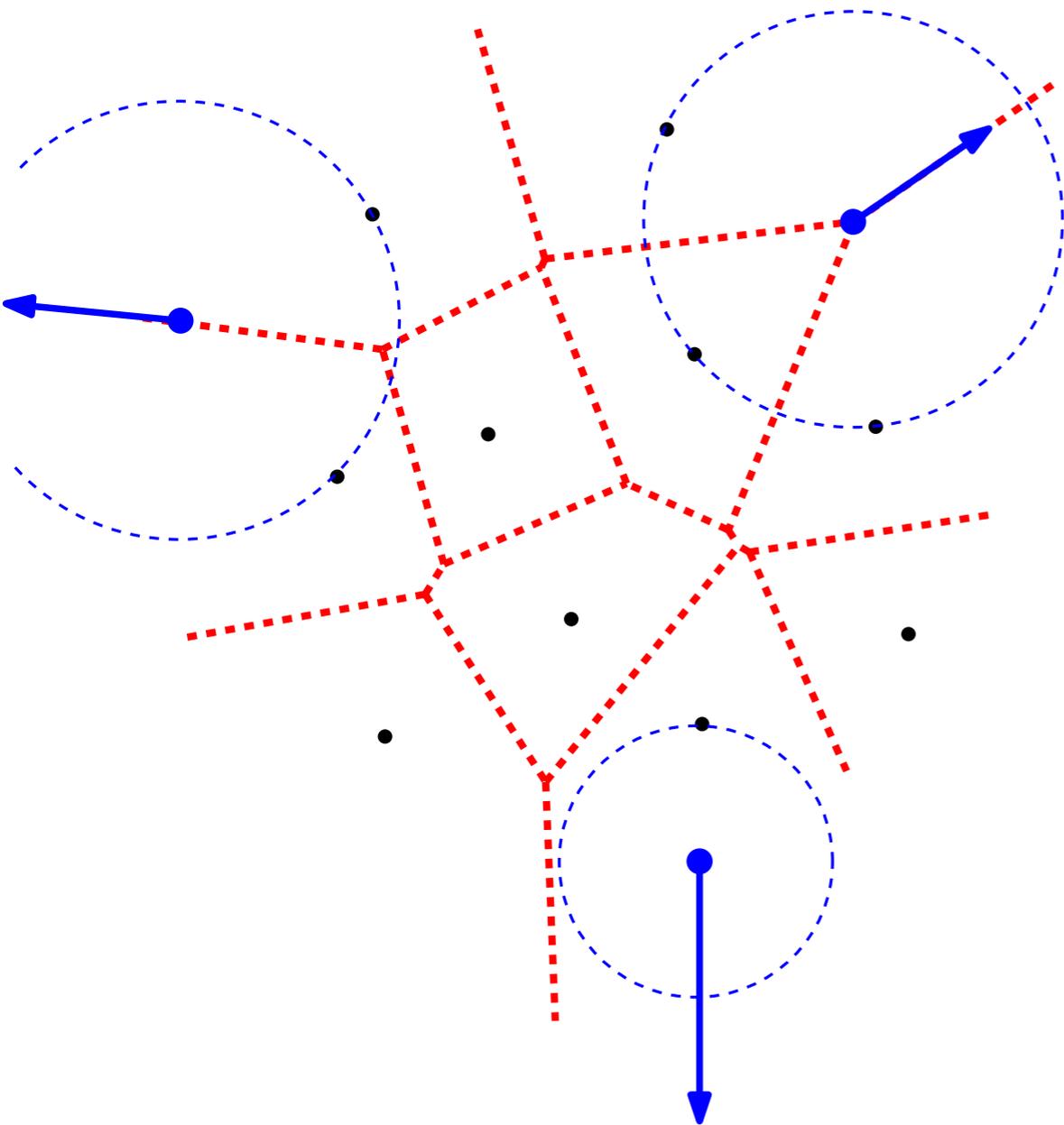
$$\nabla d_K(x) = \frac{x - \theta_K(x)}{d_K(x)}$$

Although not continuous, it can be integrated in a continuous flow.



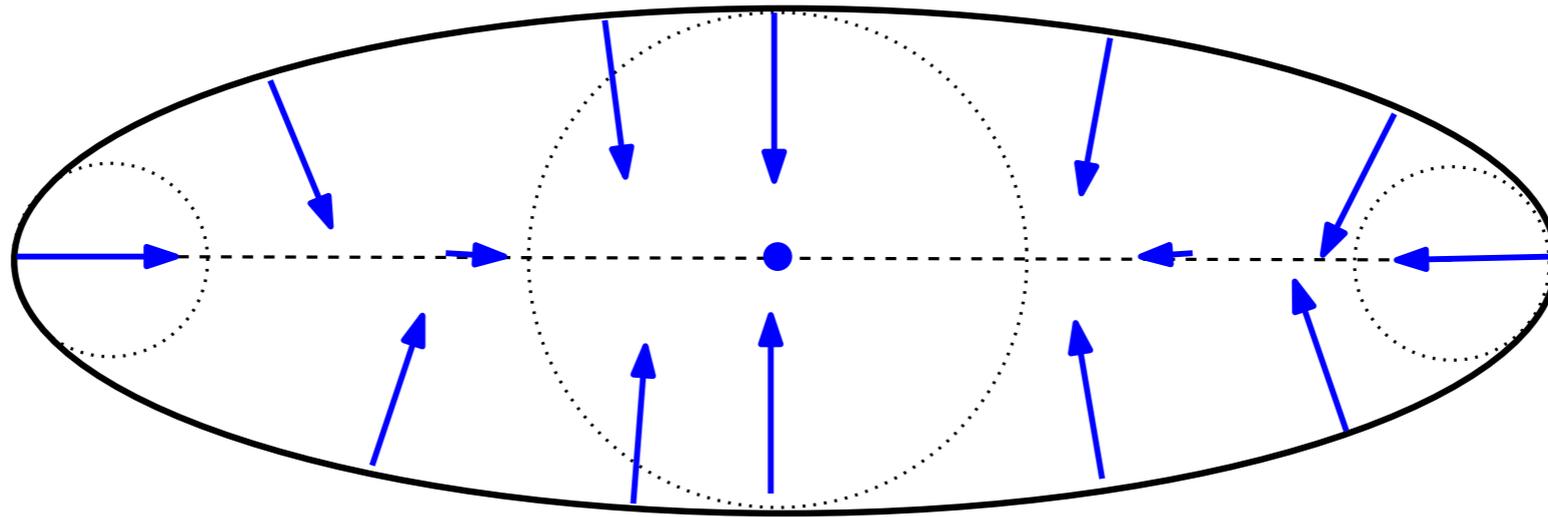
**Definition:**  $x$  is a *critical point* of  $d_K$  iff  $\nabla d_K(x) = 0$

# The gradient for a point cloud



The gradient of the distance function to a point cloud data set  $\mathcal{C}$  is easy to compute if one knows how to compute the Voronoi diagram of  $\mathcal{C}$ .

# Integration of the gradient of $d_K$

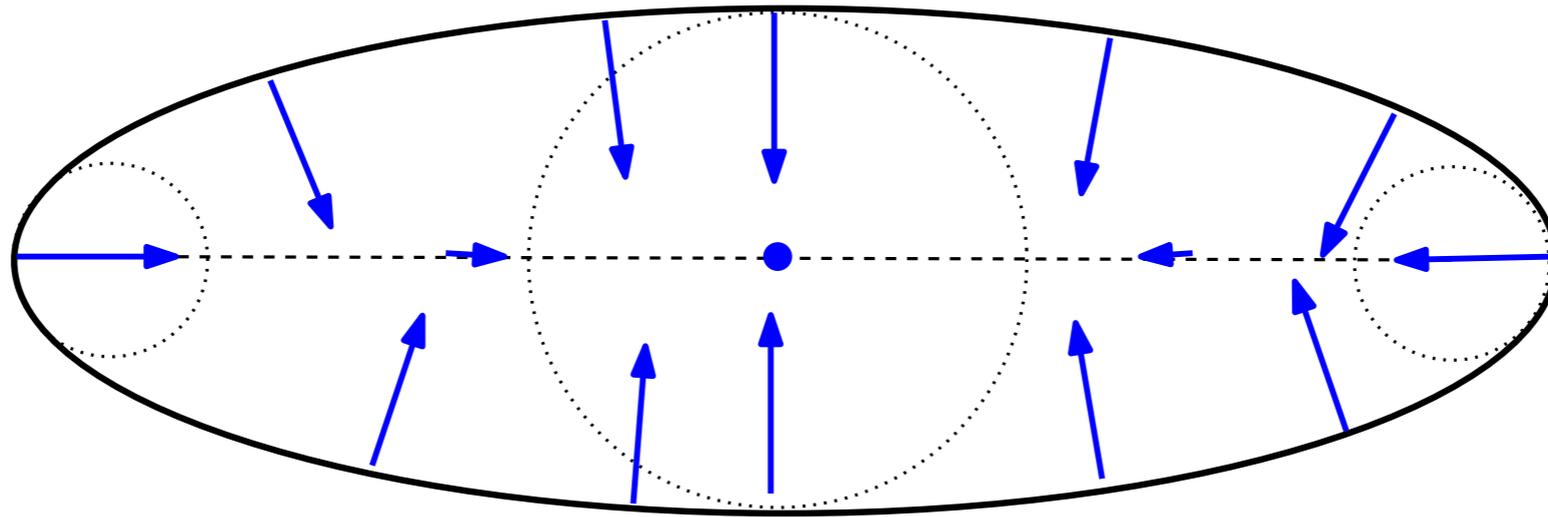


- Although  $\nabla d_K$  is discontinuous, it can be integrated: there exists  $\mathcal{C} : \mathbb{R}_+ \times (\mathbb{R}^d \setminus K) \rightarrow \mathbb{R}^d \setminus K$  a continuous function, right differentiable with respect to  $t$  s. t.

$$\frac{\partial \mathcal{C}}{\partial t}(t, x) = \nabla d_K(\mathcal{C}(t, x)) \quad \text{and} \quad \mathcal{C}(t + s, x) = \mathcal{C}(s, \mathcal{C}(t, x))$$

- The function  $d_K$  is increasing along the trajectories of  $\nabla d_K$ .

# Integration of the gradient of $d_K$



- The norm of the gradient is given by

$$\|\nabla d_K(x)\|^2 = 1 - \frac{F_K(x)}{d_K(x)}$$

radius of the  
smallest ball en-  
closing  $\Gamma_K(x)$

- The trajectories of  $\nabla d_K$  can be parametrized by arc length  $s \rightarrow \mathcal{C}(t(s), x)$  and one has

$$d_K(\mathcal{C}(t(l), x)) = d_K(x) + \int_0^l \|\nabla d_K(\mathcal{C}(t(s), x))\| ds$$

# Critical points and offsets topology

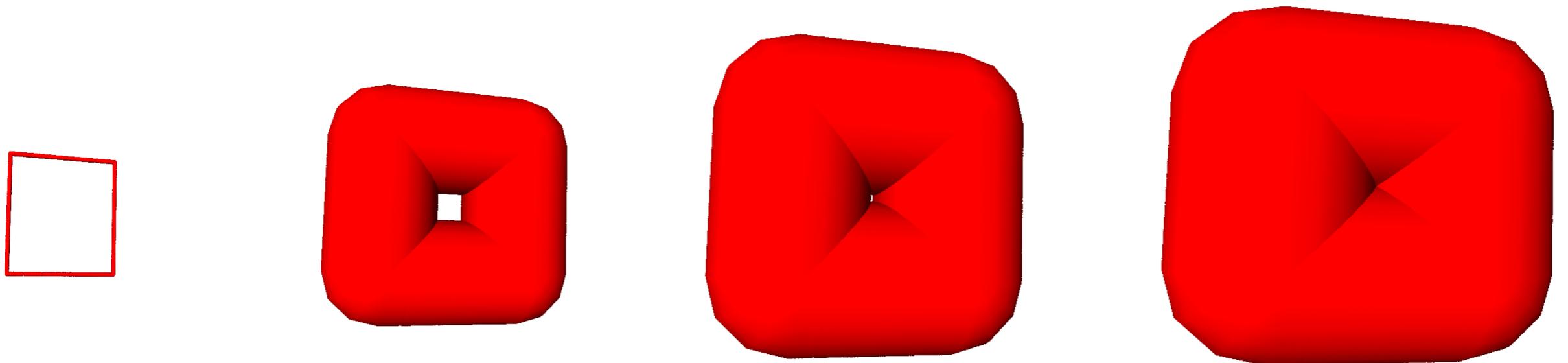
For  $\alpha \geq 0$ , the  *$\alpha$ -offset* of  $K$  is  $K^\alpha = \{x \in \mathbb{R}^d : d_K(x) \leq \alpha\}$

**Theorem:** [Grove, Cheeger,...] Let  $K \subset \mathbb{R}^d$  be a compact set.

- Let  $r$  be a regular value of  $d_K$ . Then  $d_K^{-1}(r)$  is a topological submanifold of  $\mathbb{R}^d$  of codimension 1.
- Let  $0 < r_1 < r_2$  be such that  $[r_1, r_2]$  does not contain any critical value of  $d_K$ . Then all the level sets  $d_K^{-1}(r)$ ,  $r \in [r_1, r_2]$  are isotopic and

$$K^{r_2} \setminus K^{r_1} = \{x \in \mathbb{R}^d : r_1 < d_K(x) \leq r_2\}$$

is homeomorphic to  $d_K^{-1}(r_1) \times (r_1, r_2]$ .



# Weak feature size and stability

The *weak feature size* of a compact  $K \subset \mathbb{R}^d$ :

$$\text{wfs}(K) = \inf\{c > 0 : c \text{ is a critical value of } d_K\}$$

**Proposition:** [C-Lieutier'05] Let  $K, K' \subset \mathbb{R}^d$  be such that

$$d_H(K, K') < \varepsilon := \frac{1}{2} \min(\text{wfs}(K), \text{wfs}(K'))$$

Then for all  $0 < r \leq 2\varepsilon$ ,  $K^r$  and  $K'^r$  are homotopy equivalent.



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Compact set with positive wfs:



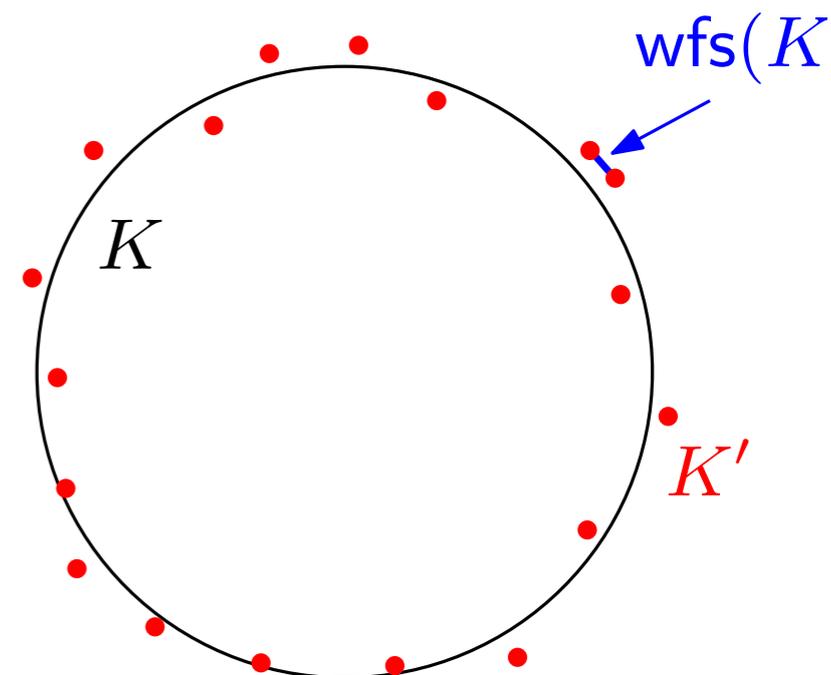
Stability properties



Large class of compact sets (including sub-analytic sets)



$K \rightarrow \text{wfs}(K)$  is not continuous (unstability of critical points).



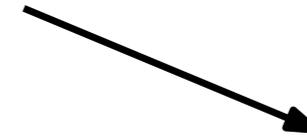
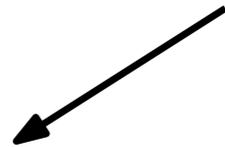
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## Option 1:

Restrict to a smaller class of compact sets with some stability properties of the critical points.

## Option 2:

Try to get topological information about  $K$  without any assumption on  $\text{wfs}(K')$ .

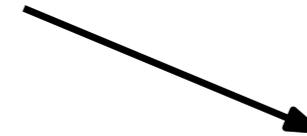
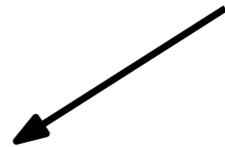
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Notion of  $\mu$ -critical points.  
Strong reconstruction results.

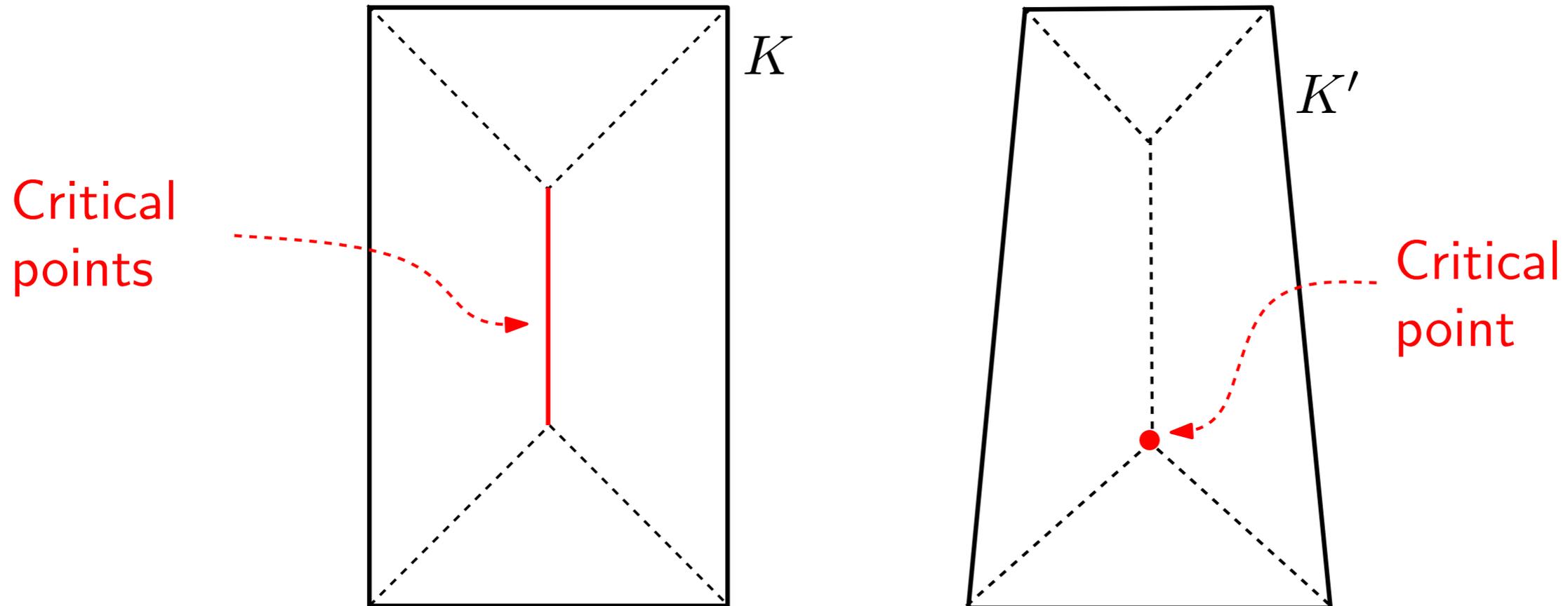
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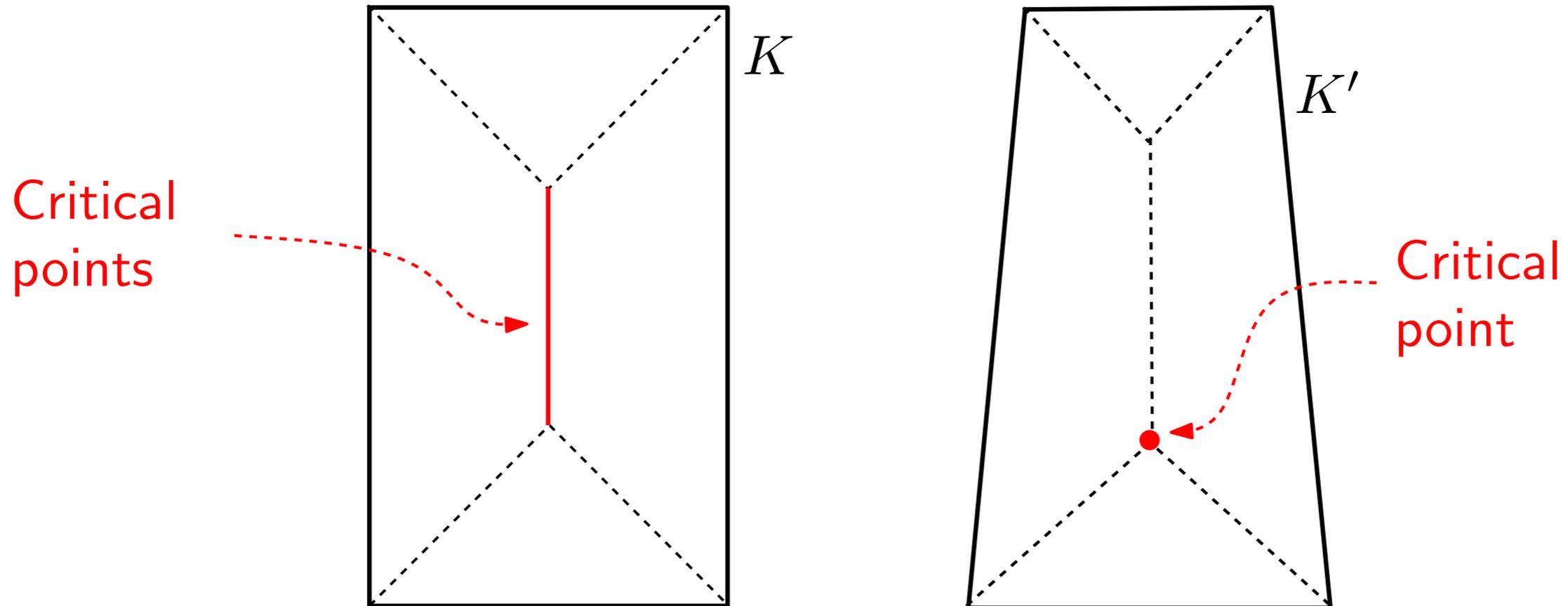


Persistence-based inference

# Instability of critical points and $\mu$ -critical points

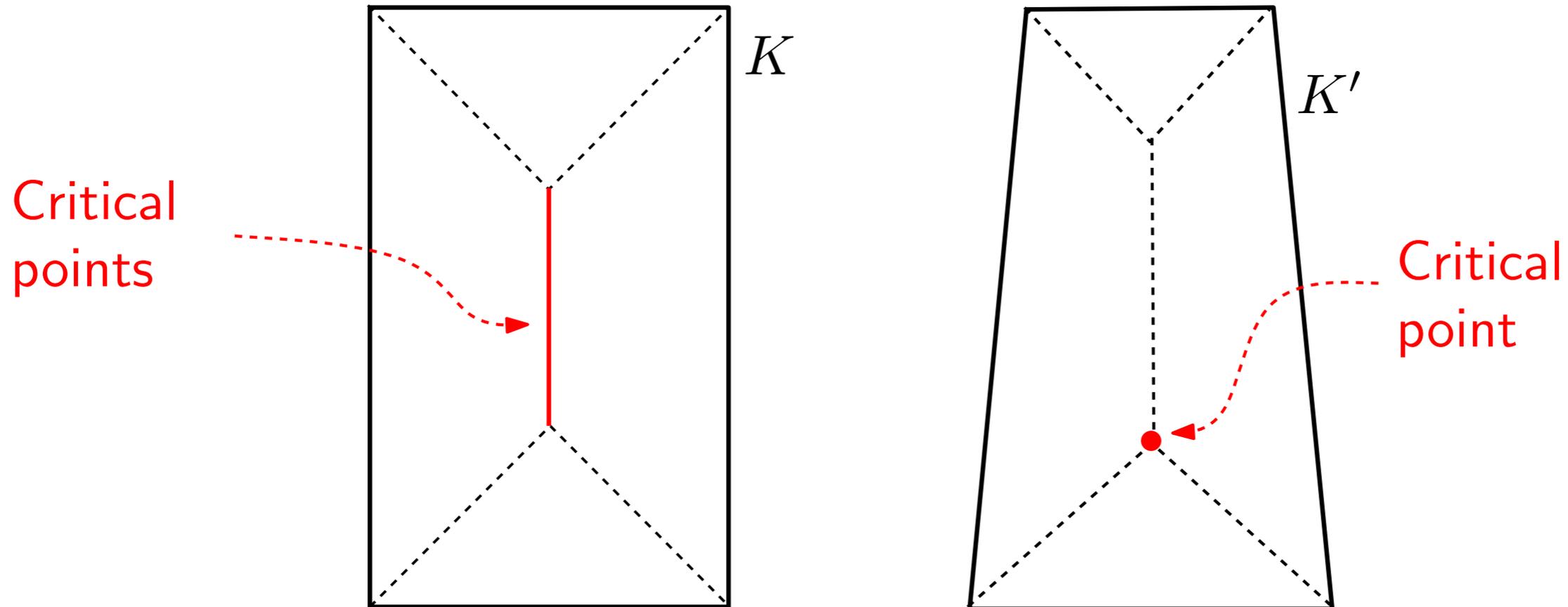


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A point  $x \in \mathbb{R}^d$  is  $\mu$ -critical for  $K$  if  $\|\nabla d_K(x)\| \leq \mu$

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**Theorem:** [C-Cohen-Steiner-Lieutier'06] Let  $K, K' \subset \mathbb{R}^d$  be two compact sets s. t.  $d_H(K, K') \leq \varepsilon$ . For any  $\mu$ -critical point  $x$  for  $K$ , there exists a  $(2\sqrt{\varepsilon/d_K(x)} + \mu)$ -critical point for  $K'$  at distance at most  $2\sqrt{\varepsilon d_K(x)}$  from  $x$ .

# Proof of the stability theorem

**Lemma 1:** Let  $x$  be a  $\mu$ -critical point for  $d_K$ . For any  $y \in \mathbb{R}^d$ ,

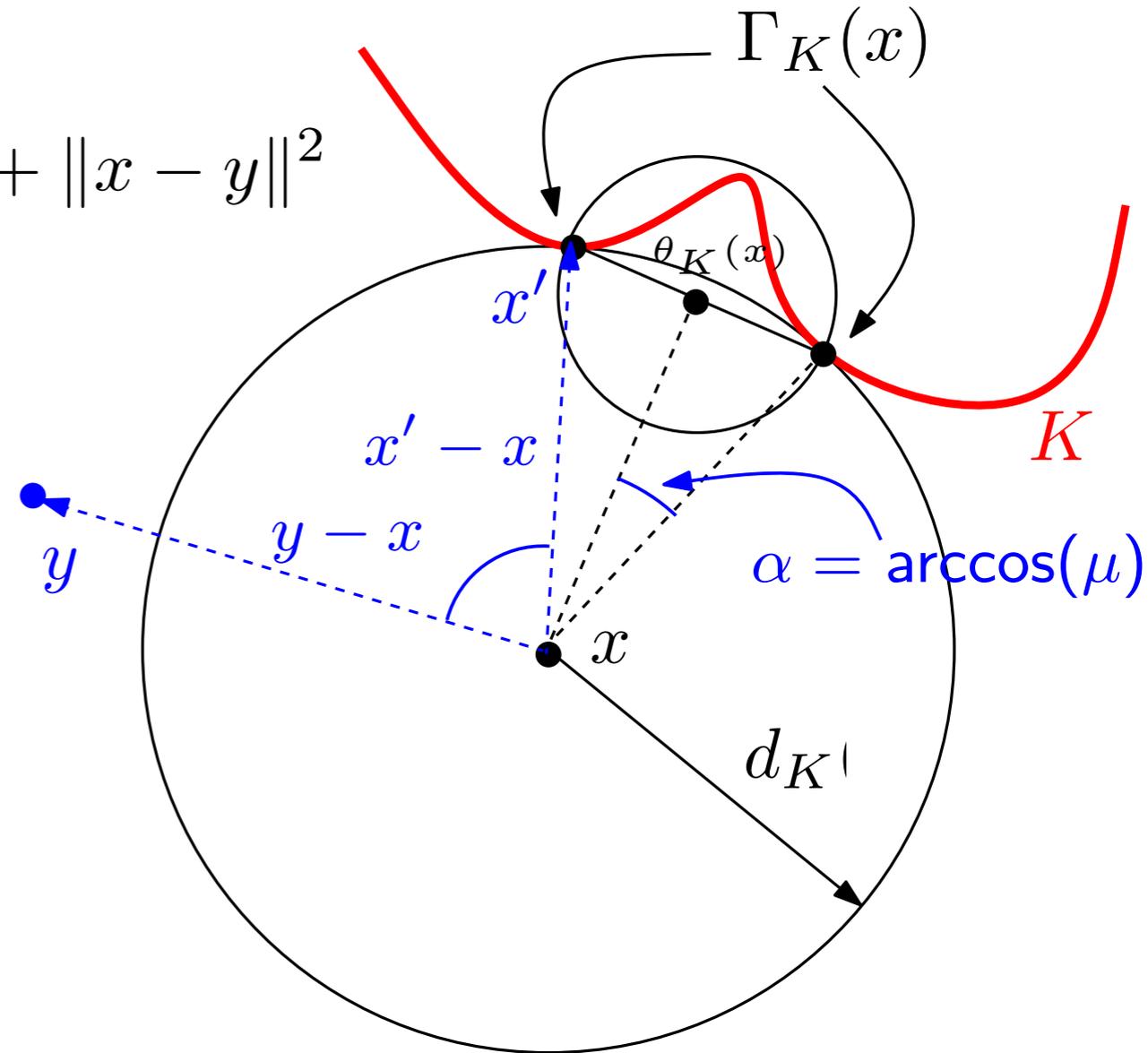
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**Proof:**



For any  $x' \in \Gamma_K(x)$

$$\begin{aligned} d_K(y)^2 &\leq \|y - x'\|^2 \\ &= ((y - x) + (x - x'), (y - x) + (x - x')) \\ &= \|y - x\|^2 + \|x - x'\|^2 + 2(y - x, x - x') \\ &= d_K(x)^2 + 2d_K(x)\|x - y\| \cos(y - x, x - x') + \|x - y\|^2 \end{aligned}$$

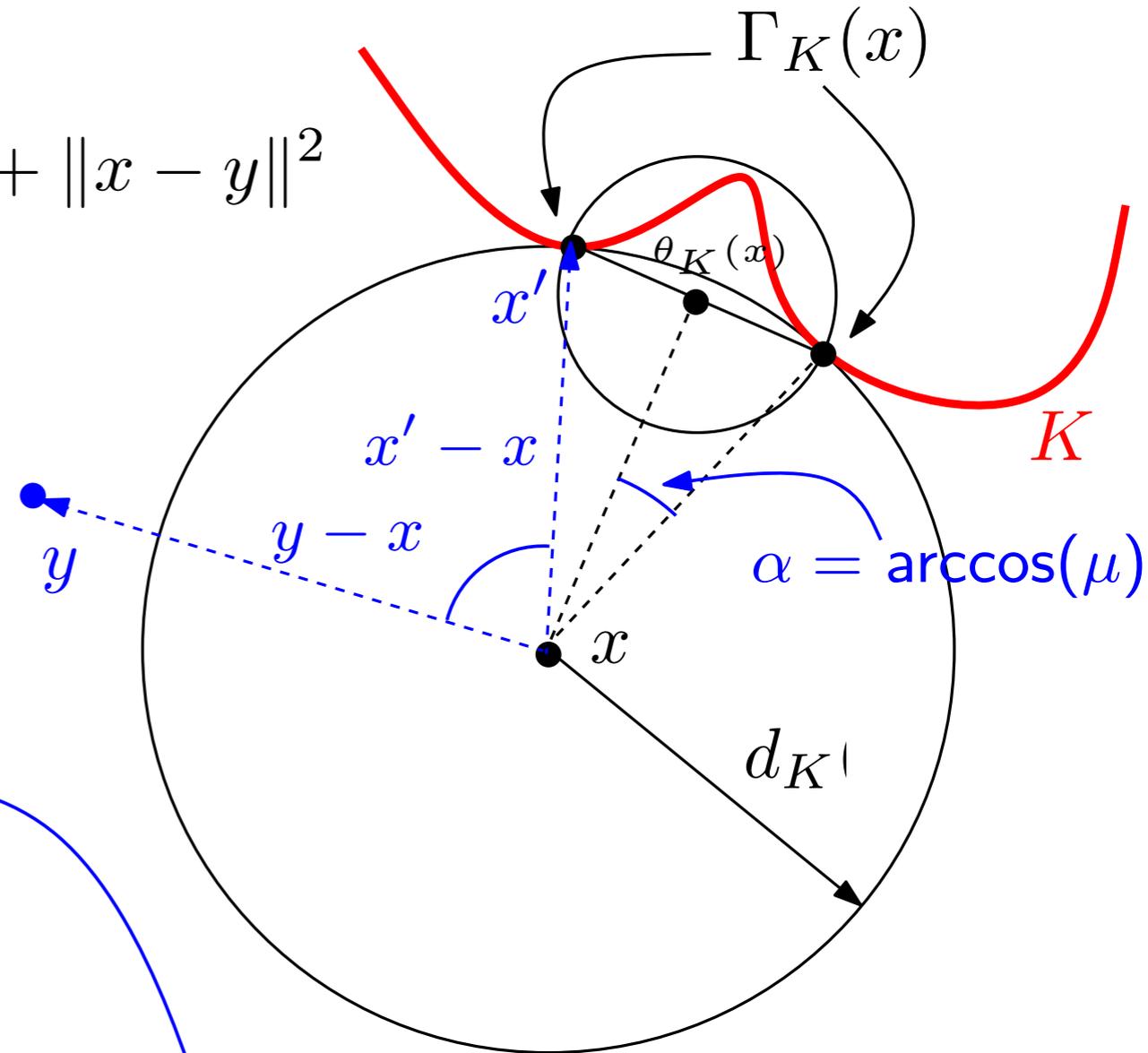
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**Proof:**

Just need to prove that there exists  $x' \in \Gamma_K(x)$  s.t.  $\cos(y - x, x - x') \leq \mu$   
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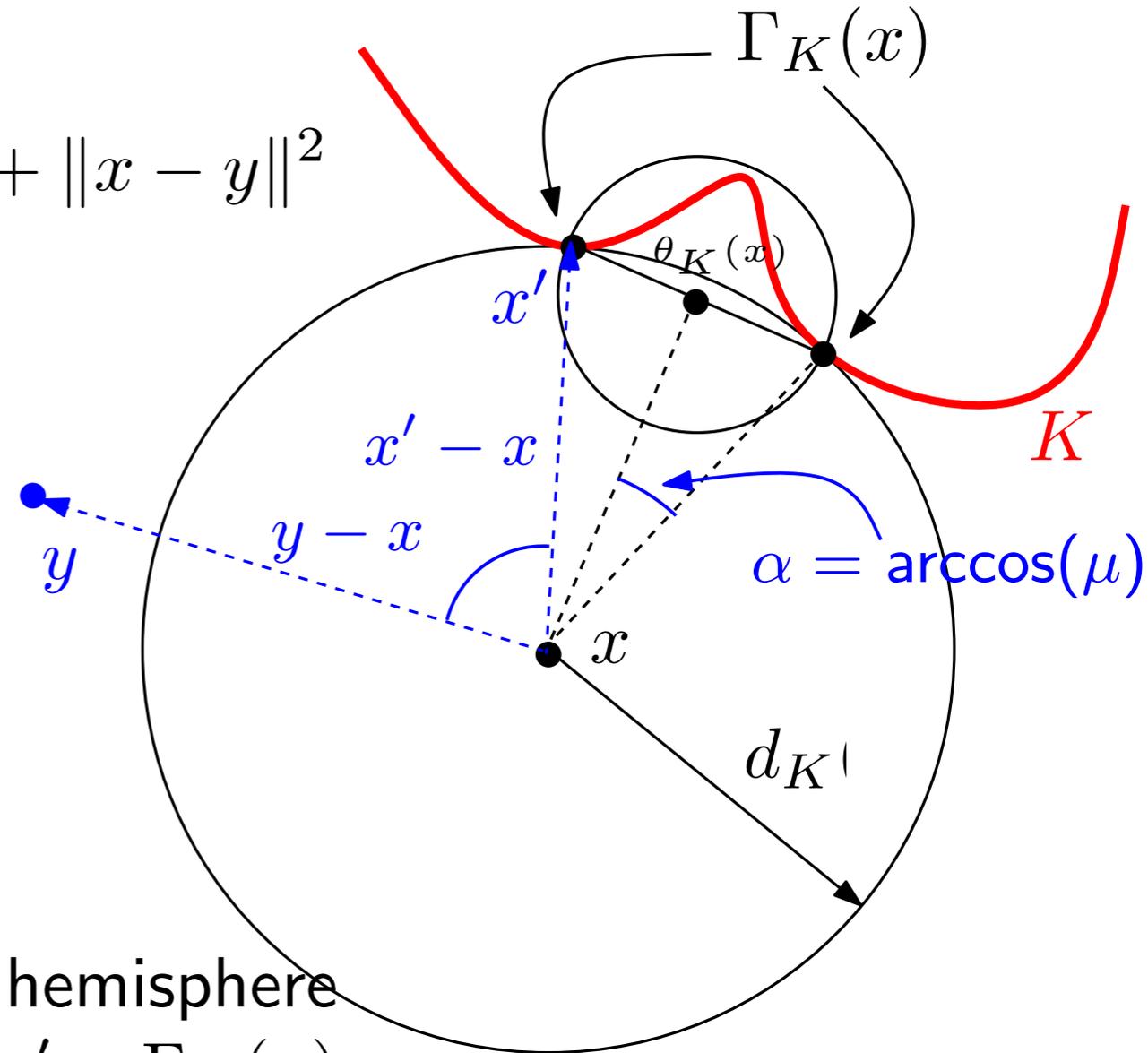
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- If  $\mu = 0$ :  $\theta_K(x) = x$  and any closed hemisphere of  $S(x, d_K(x))$  intersects  $\Gamma_K(x) \Rightarrow \exists x' \in \Gamma_K(x)$  s.t.  $(y - x, x' - x) \leq \pi - \alpha$ .

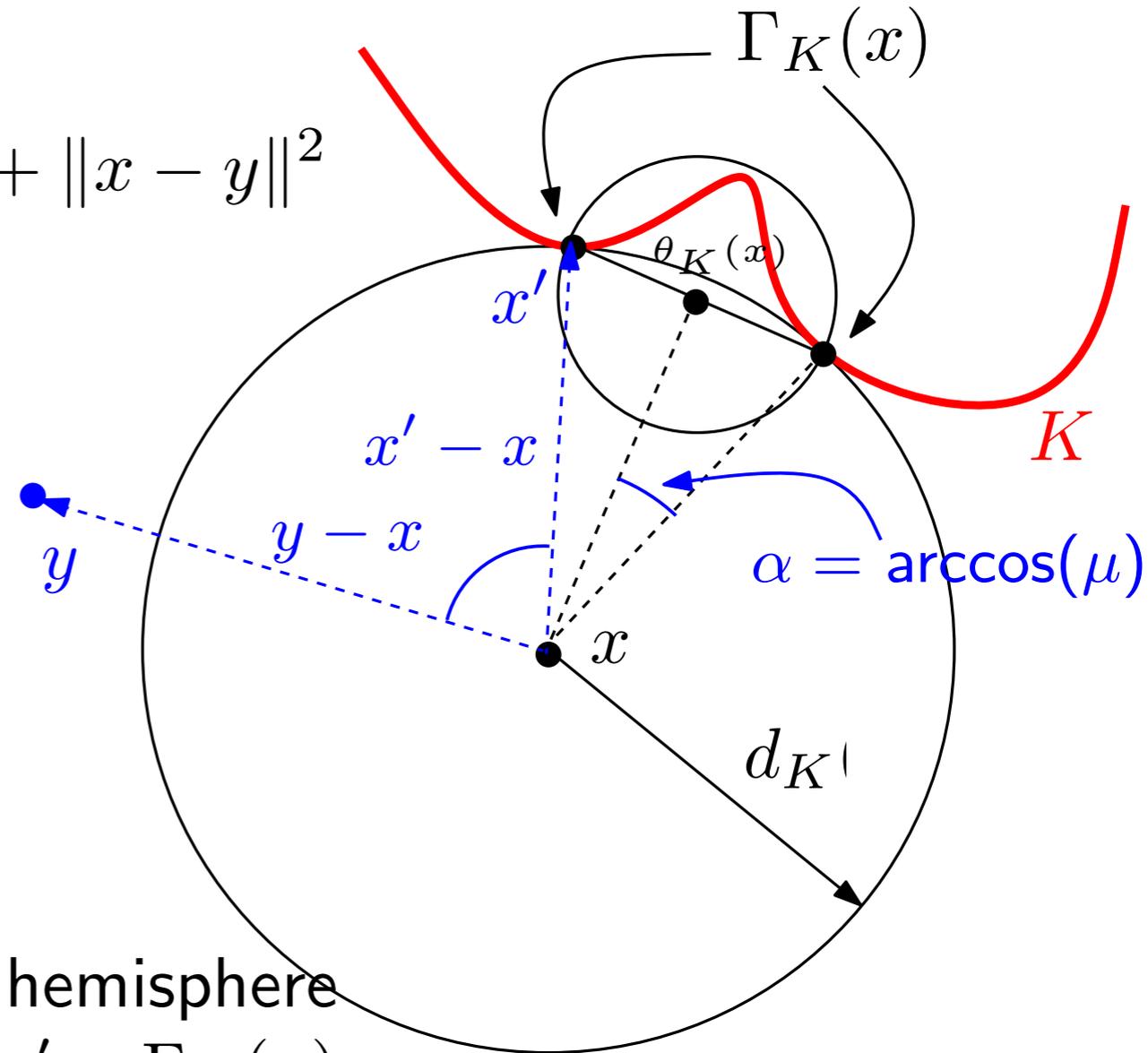
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i.e.  $(y - x, x' - x) \leq \pi - \alpha$



- If  $\mu = 0$ :  $\theta_K(x) = x$  and any closed hemisphere of  $S(x, d_K(x))$  intersects  $\Gamma_K(x) \Rightarrow \exists x' \in \Gamma_K(x)$  s.t.  $(y - x, x' - x) \leq \pi - \alpha$ .
- If  $\mu \neq 0$ : compactness of  $\Gamma_K(x) \rightarrow$  there exists a circular cone with apex  $x$  and angle  $\alpha' < \alpha$  containing  $\Gamma_K(x)$ .

# Proof of the stability theorem

**Lemma 2:** Let  $K, K' \subset \mathbb{R}^d$  be two compact sets s.t.  $d_H(K, K') \leq \varepsilon$ . For any  $\mu$ -critical point  $x$  for  $K$  and for any  $\rho > 0$ , there exists a  $\mu'$ -critical point for  $K'$  at distance at most  $\rho$  from  $x$  with

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- Let  $s \rightarrow \mathcal{C}(s)$  be the trajectory of  $\nabla d_{K'}$  starting at  $x$  and parametrized by arc length.
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- if  $\mathcal{C}$  meets a critical point of  $K'$  before  $y = \mathcal{C}(\rho)$  : ok!
- Otherwise,

$$d_{K'}(y) - d_{K'}(x) = \int_0^\rho \|\nabla_{K'}(\mathcal{C}(s))\| ds$$

and there exist a point  $p$  on  $\mathcal{C}$  between  $s = 0$  and  $s = \rho$  such that:

$$\|\nabla d_{K'}(p)\| \leq \frac{d_{K'}(y) - d_{K'}(x)}{\rho}$$

# Proof of the stability theorem

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**Proof:**

- Applying Lemma 1 to  $x$  and  $y = \mathcal{C}(\rho)$  gives

$$d_K(y) \leq \sqrt{d_K(x)^2 + 2\mu d_K(x)\|x - y\| + \|x - y\|^2}$$

- Since  $\varepsilon = d_H(K, K')$ , we have that for all  $z \in \mathbb{R}^d$ ,  $|d_K(z) - d_{K'}(z)| \leq \varepsilon$ .

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**Proof:**

It follows that

$$\begin{aligned} d_{K'}(y) - d_{K'}(x) &\leq \sqrt{d_K(x)^2 + 2\mu d_K(x)\|x - y\| + \|x - y\|^2} \\ &\quad - d_K(x) + 2\varepsilon \\ &\leq d_K(x) \left[ \sqrt{1 + \frac{2\mu\|x - y\|}{d_K(x)} + \frac{\|x - y\|^2}{d_K(x)^2}} - 1 \right] \\ &\quad + 2\varepsilon \\ &\leq \mu\|x - y\| + \frac{\|x - y\|^2}{2d_K(x)} + 2\varepsilon \end{aligned}$$

# Proof of the stability theorem

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$$\|\nabla_{K'}(p)\| \leq \frac{d_{K'}(y) - d_{K'}(x)}{\rho}$$

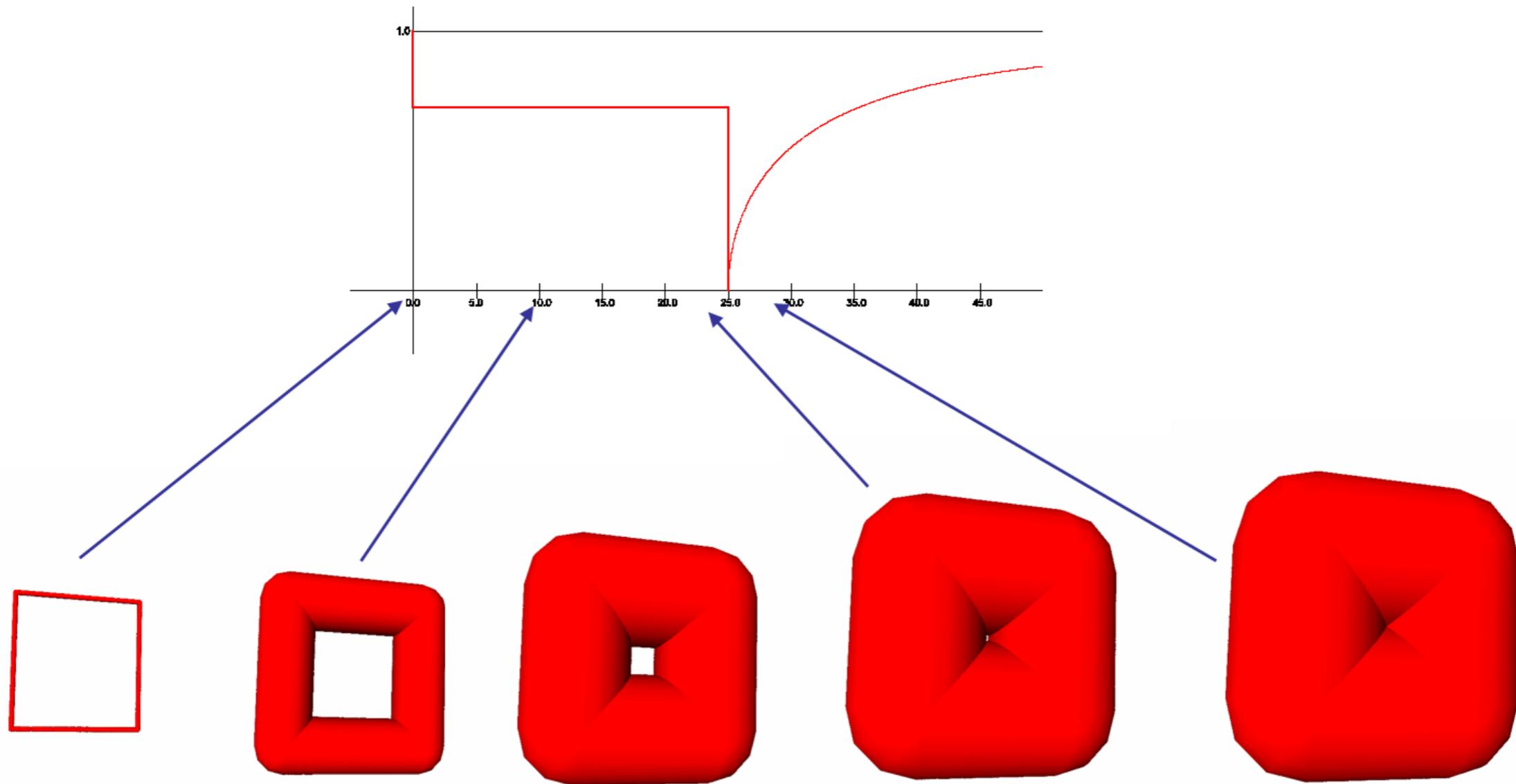
$$d_{K'}(y) - d_{K'}(x) \leq \sqrt{d_K(x)^2 + 2\mu d_K(x)\|x - y\| + \|x - y\|^2} - d_K(x) + 2\varepsilon$$

smaller than  $\rho$  since  $y = \mathcal{C}(\rho)$  and  $\mathcal{C}$  is parametrized by arc length.

$$\leq d_K(x) \left[ \sqrt{1 + \frac{2\mu\|x - y\|}{d_K(x)} + \frac{\|x - y\|^2}{d_K(x)^2}} - 1 \right] + 2\varepsilon$$

$$\leq \mu\|x - y\| + \frac{\|x - y\|^2}{2d_K(x)} + 2\varepsilon$$

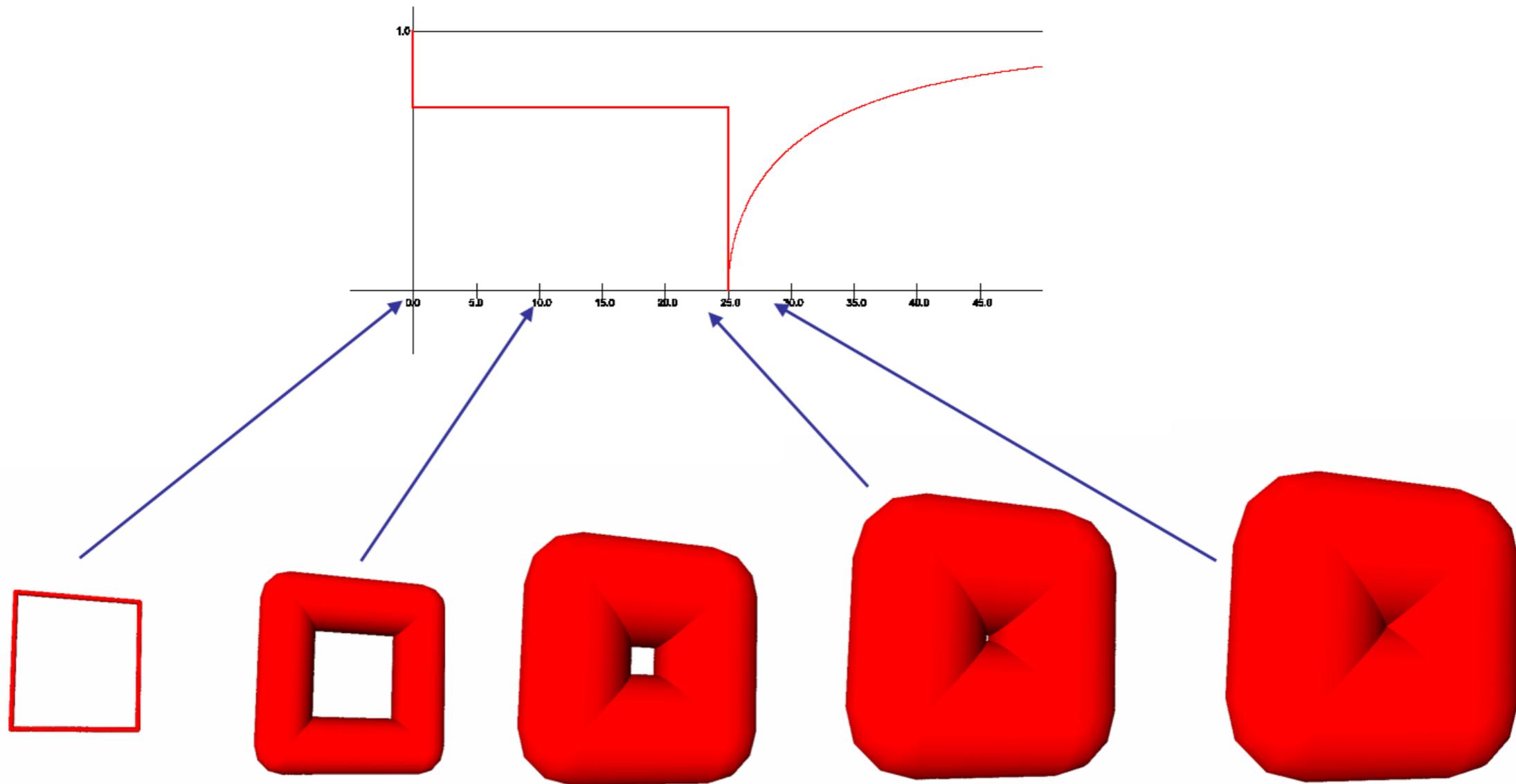
# The critical function of a compact set



**Definition:** The **critical function**  $\chi_K : (0, +\infty) \rightarrow \mathbb{R}_+$  of a compact set  $K$  is the function defined by

$$\chi_K(r) = \inf_{x \in d_K^{-1}(r)} \|\nabla_K(x)\|$$

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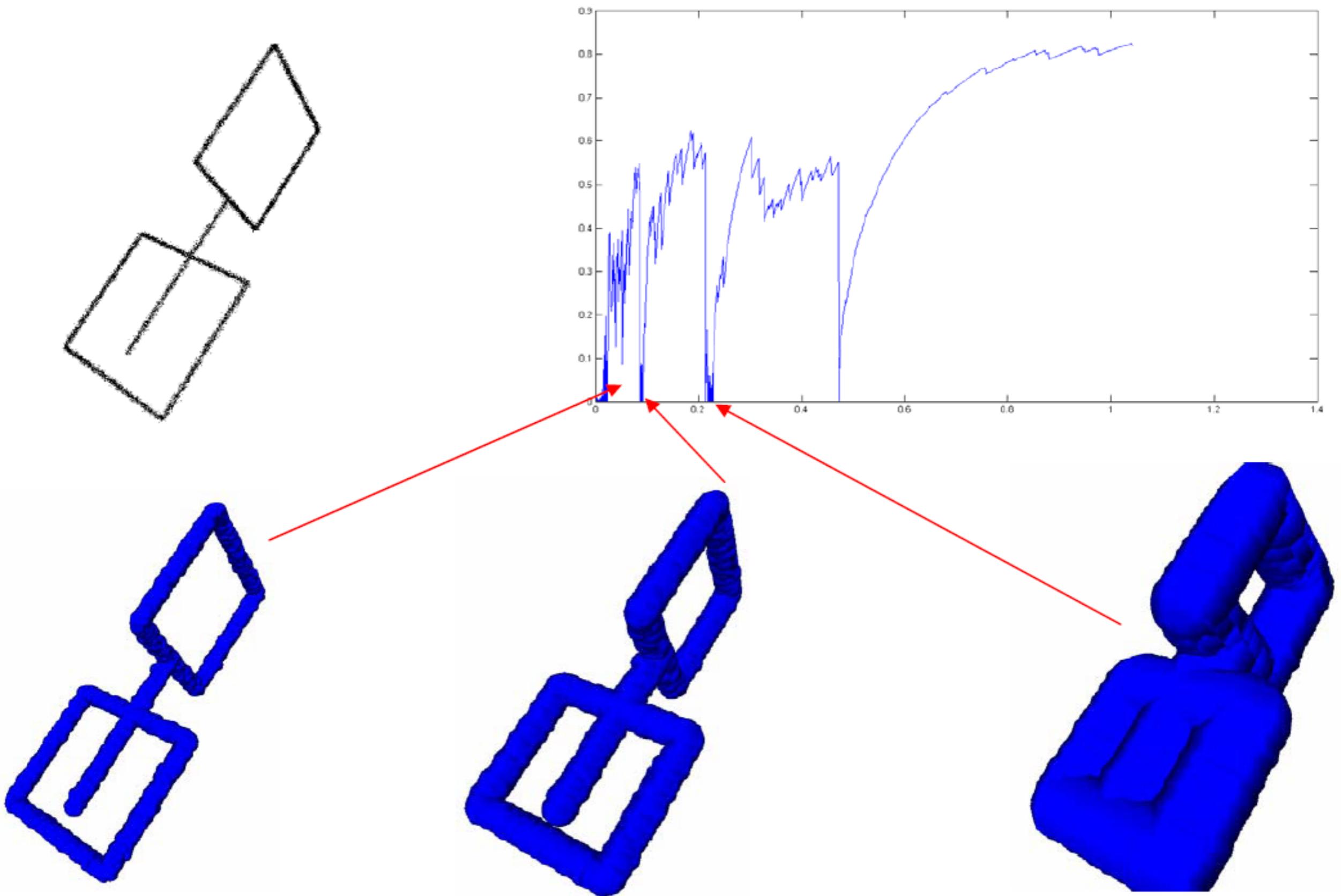


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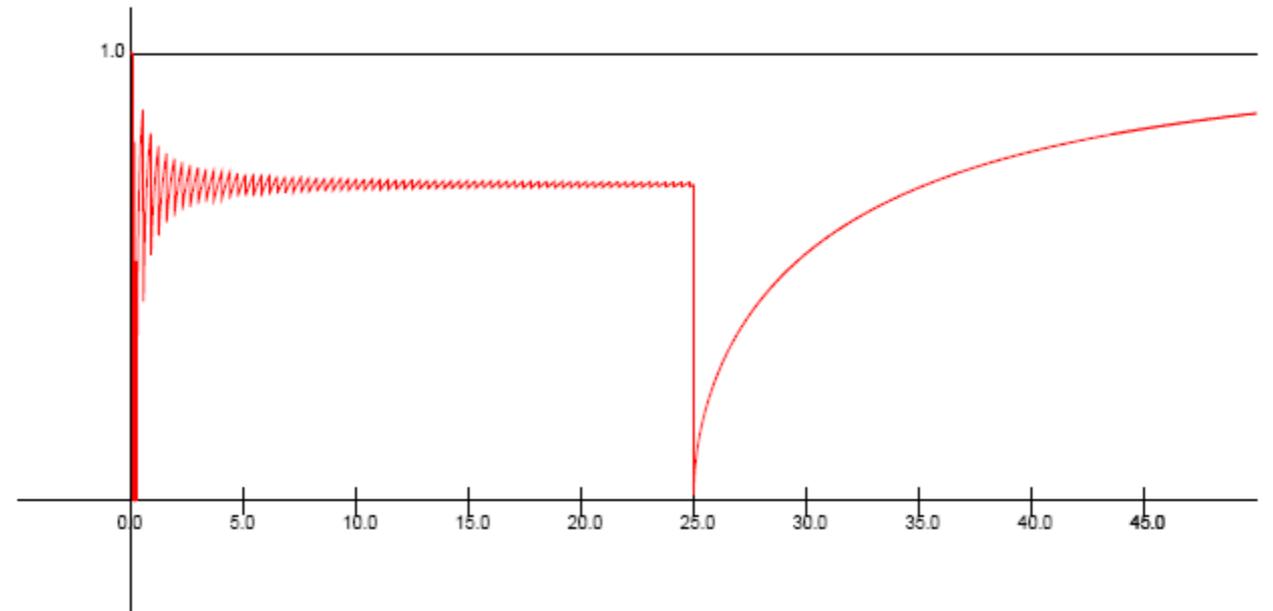
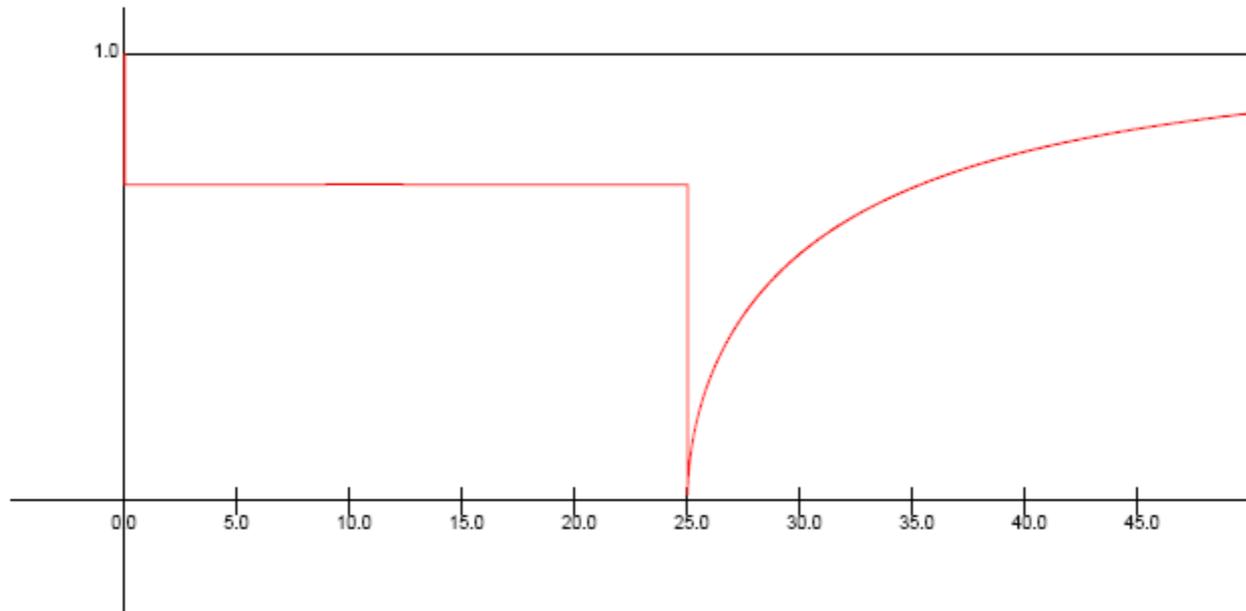
Easy to compute from  $\text{Vor}(K)$  when  $K$  is a finite point cloud!

$$\chi_K(r) = \inf_{x \in d_K^{-1}(r)} \|\nabla_K(x)\|$$

# The critical function of a compact set



# Stability of the critical function



**Theorem:**[critical function stability theorem CCSL'06] Let  $K$  and  $K'$  be two compact subsets of  $\mathbb{R}^d$  s. t.  $d_H(K, K') \leq \varepsilon$ . For all  $r \geq 0$ , we have:

$$\inf\{\chi_{K'}(u) \mid u \in I(r, \varepsilon)\} \leq \chi_K(r) + 2\sqrt{\frac{\varepsilon}{r}}$$

where  $I(r, \varepsilon) = [r - \varepsilon, r + 2\chi_K(r)\sqrt{\varepsilon r} + 3\varepsilon]$

# Stability of the critical function

**Proof:** this is an easy consequence of the critical point stability theorem.

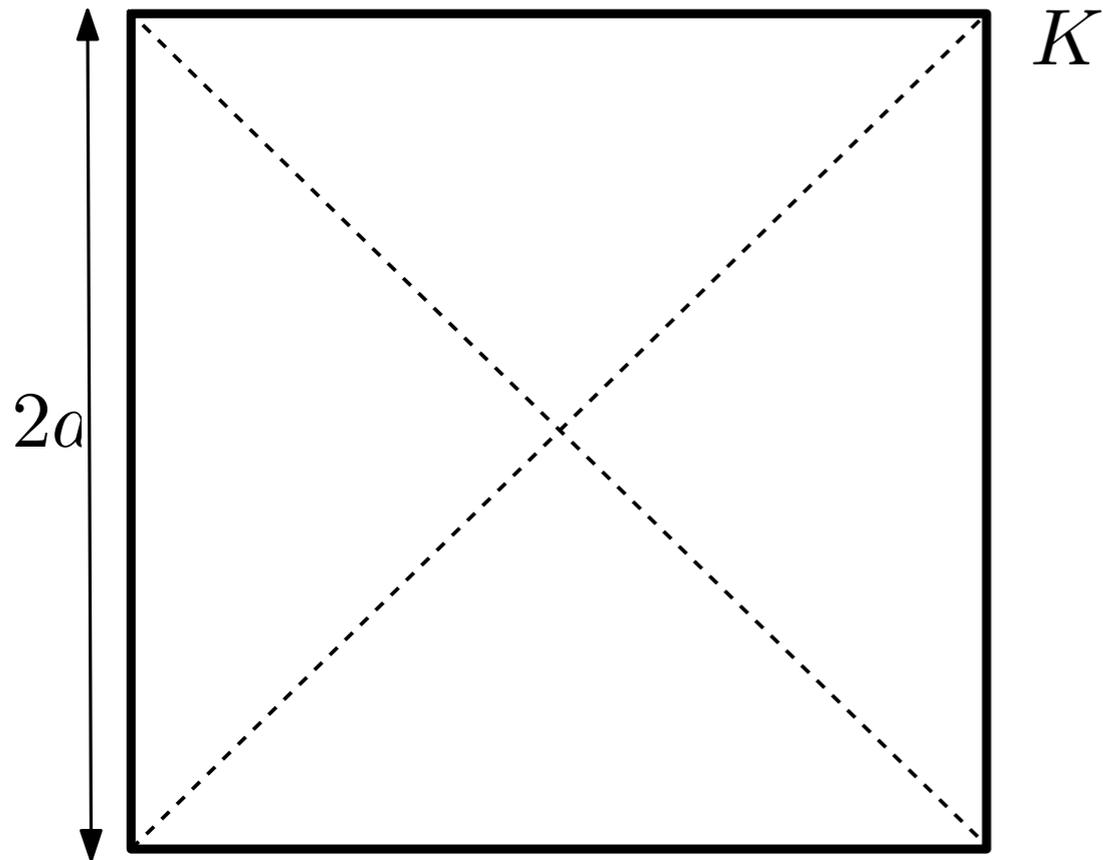
Let  $r \geq 0$  and  $x \in d_K^{-1}(r)$  be such that  $\|\nabla d_K(x)\| = \chi_K(r)$ .

- Critical point stability theorem  $\Rightarrow$  there exists a  $(2\sqrt{\varepsilon/r} + \chi_K(r))$ -critical point  $p$  for  $K'$  s.t.  $d(p, x) \leq 2\sqrt{\varepsilon r}$  and  $d_{K'}(p) \geq d_{K'}(x)$ .
- Applying lemma 1 to  $x, p$  and  $K$  gives

$$\begin{aligned} d_K(p) &\leq \sqrt{r^2 + 4\chi_K(r)d\sqrt{\varepsilon r} + 4\varepsilon r} \\ &\leq r\sqrt{1 + 4\chi_K(r)\sqrt{\varepsilon/r} + 4\varepsilon/r} \\ &\leq r + 2\chi_K(r)\sqrt{\varepsilon r} + 2\varepsilon \end{aligned}$$

- to conclude the proof use that  $d_{K'}(p) \geq d_{K'}(x)$  and  $|d_{K'}(p) - d_K(p)| < \varepsilon$ .

# Reach(es)



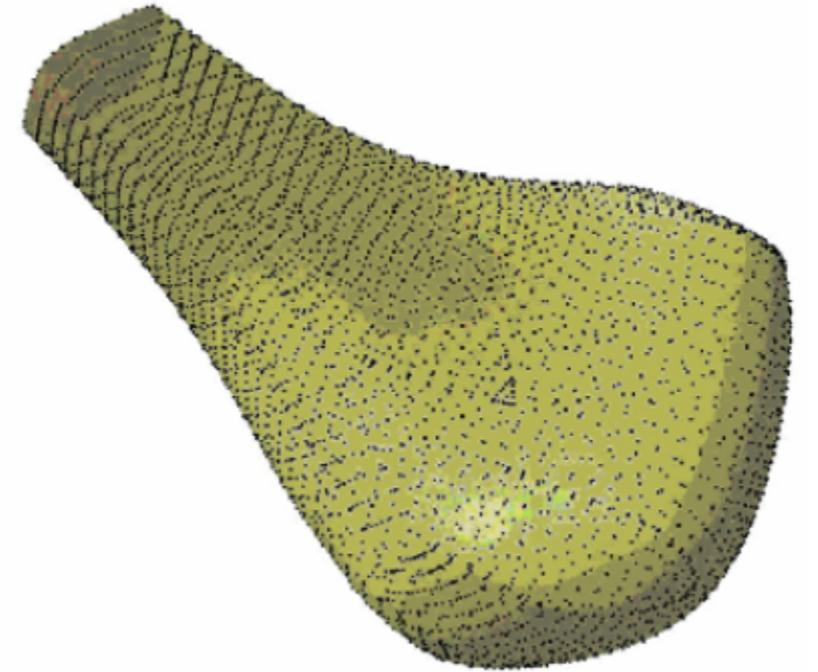
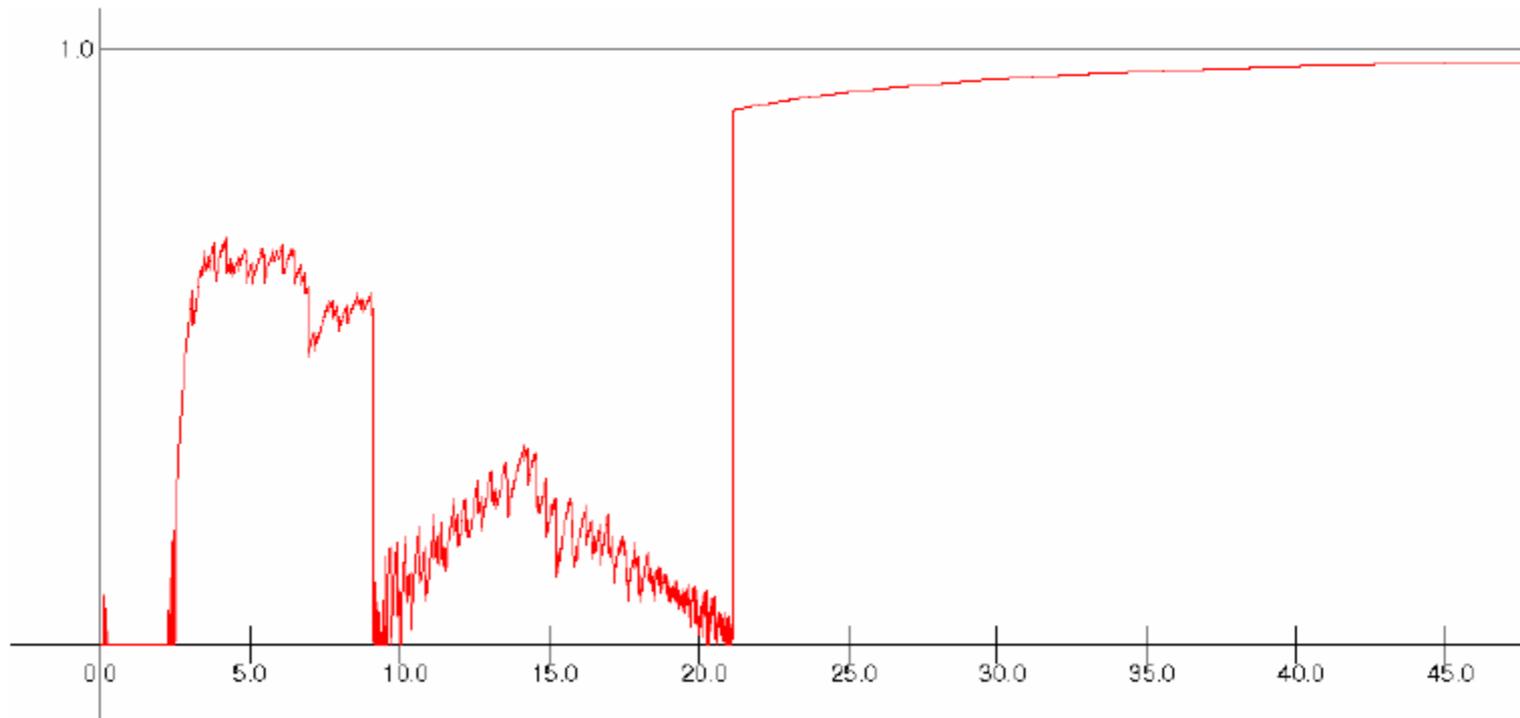
- $r_\mu(K) = 0$  if  $\mu \geq \sqrt{2}/2$
- $r_\mu(K) = a$  if  $\mu < \sqrt{2}/2$
- $\text{wfs}(K) = a$

$\mu$ -reach of a compact  $K \subset \mathbb{R}^d$ :

$$r_\mu(K) = \inf \{ d_K(x) : \|\nabla d_K(x)\| < \mu \}$$

- $\forall \mu \in (0, 1), r_\mu(K) \leq \text{wfs}(K)$
- for  $\mu = 1$ ,  $r_\mu(K)$  is the reach introduced by Federer in Geometric Measure Theory

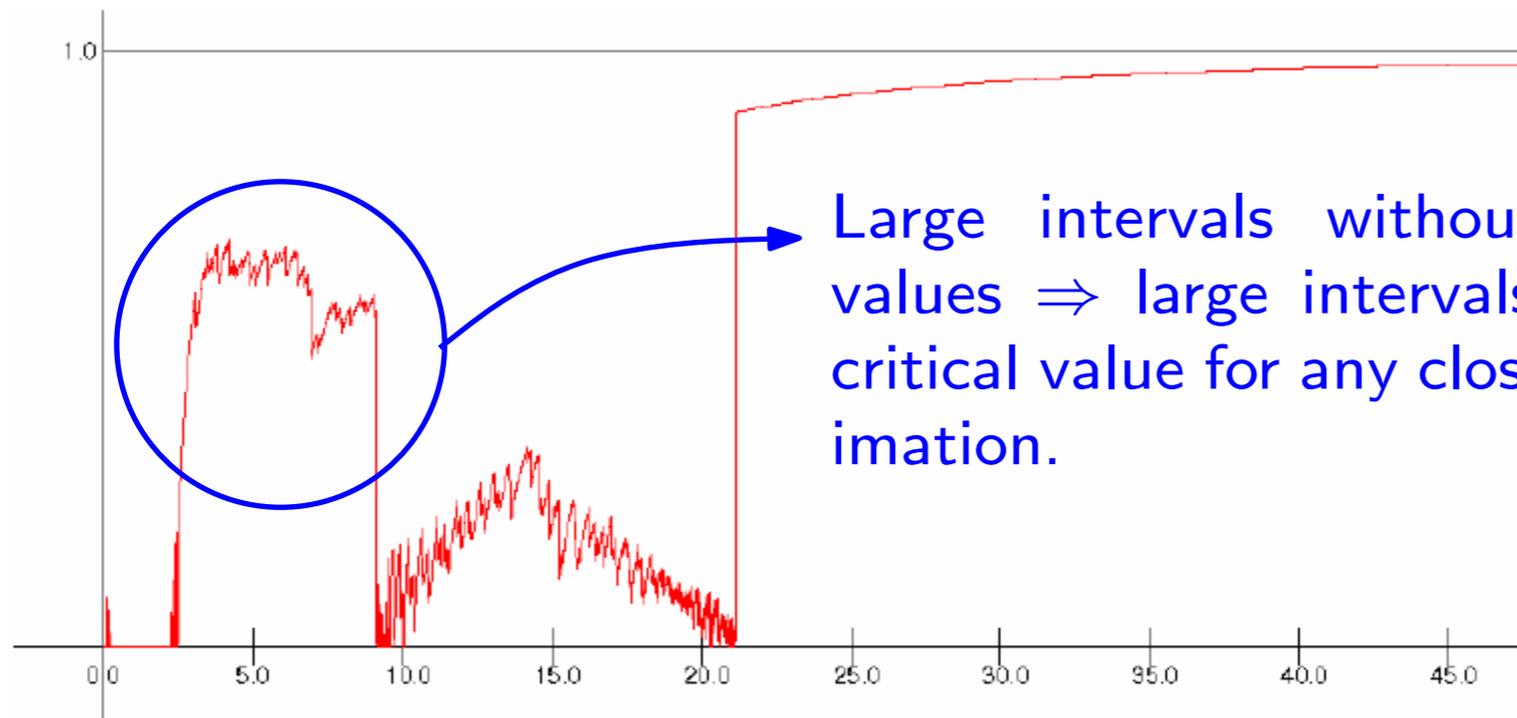
# Separation of critical values



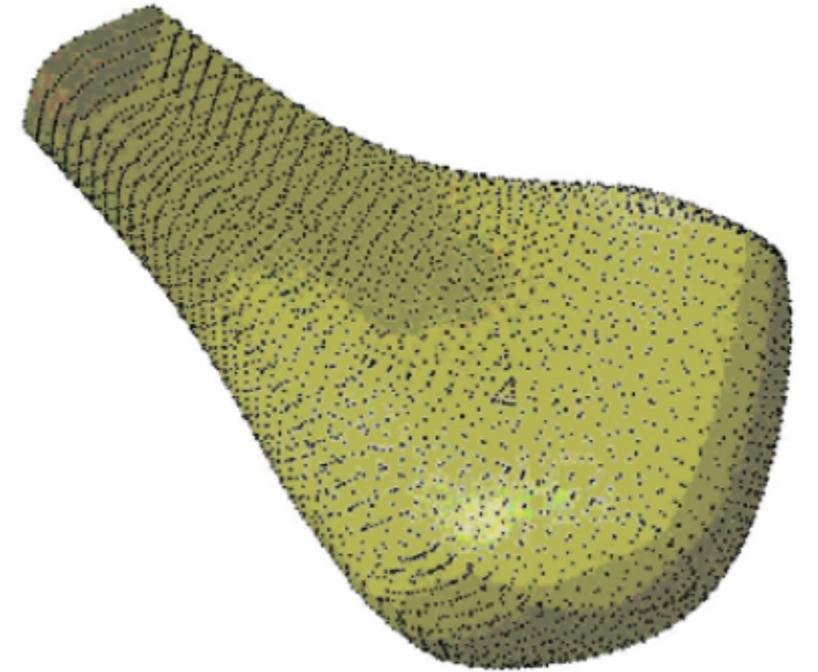
**Theorem:** [CCSL'06] Let  $K$  and  $K'$  be two compact subsets of  $\mathbb{R}^d$ ,  $\varepsilon$  be the Hausdorff distance between  $K$  and  $K'$ , and  $\mu$  be a non-negative number. The distance function  $d_K$  has no critical values in the interval  $]4\varepsilon/\mu^2, r_\mu(K') - 3\varepsilon[$ . Besides, for any  $\mu' < \mu$ ,  $\chi_K$  is larger than  $\mu'$  on the interval

$$\left] \frac{4\varepsilon}{(\mu - \mu')^2}, r_\mu(K') - 3\sqrt{\varepsilon r_\mu(K')} \right[$$

# Separation of critical values



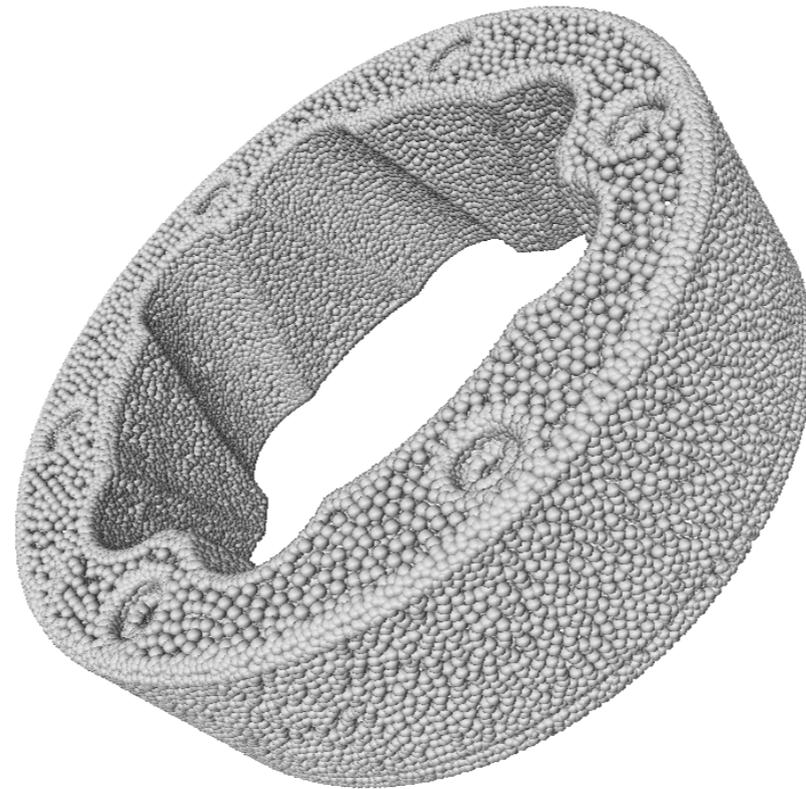
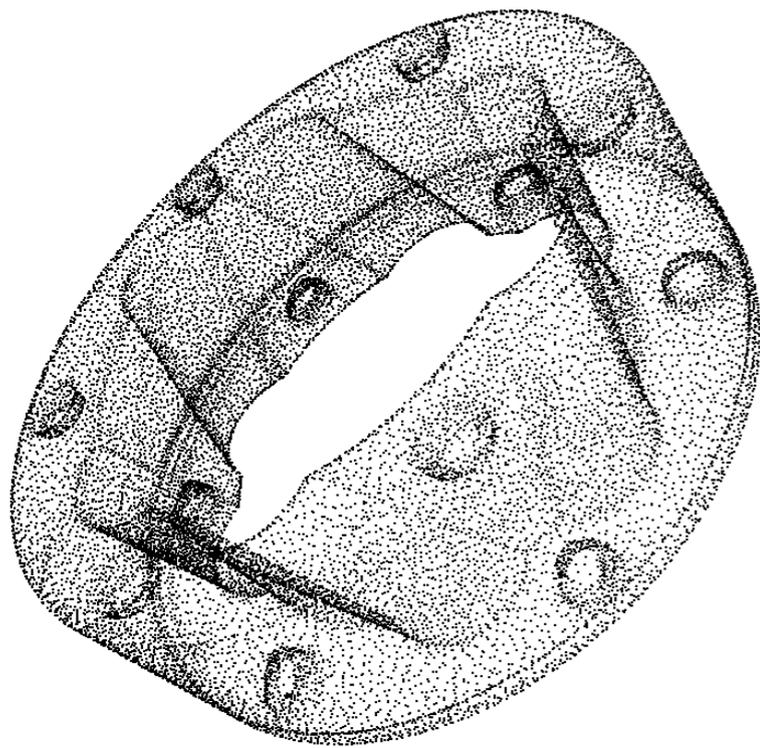
Large intervals without critical values  $\Rightarrow$  large intervals without critical value for any close approximation.



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# A reconstruction theorem



**A reconstruction theorem:** [C-Cohen-Steiner-Lieutier'06]

Let  $K \subset \mathbb{R}^d$  be a compact set s.t.  $r_\mu = r_\mu(K) > 0$  for some  $\mu > 0$ . Let

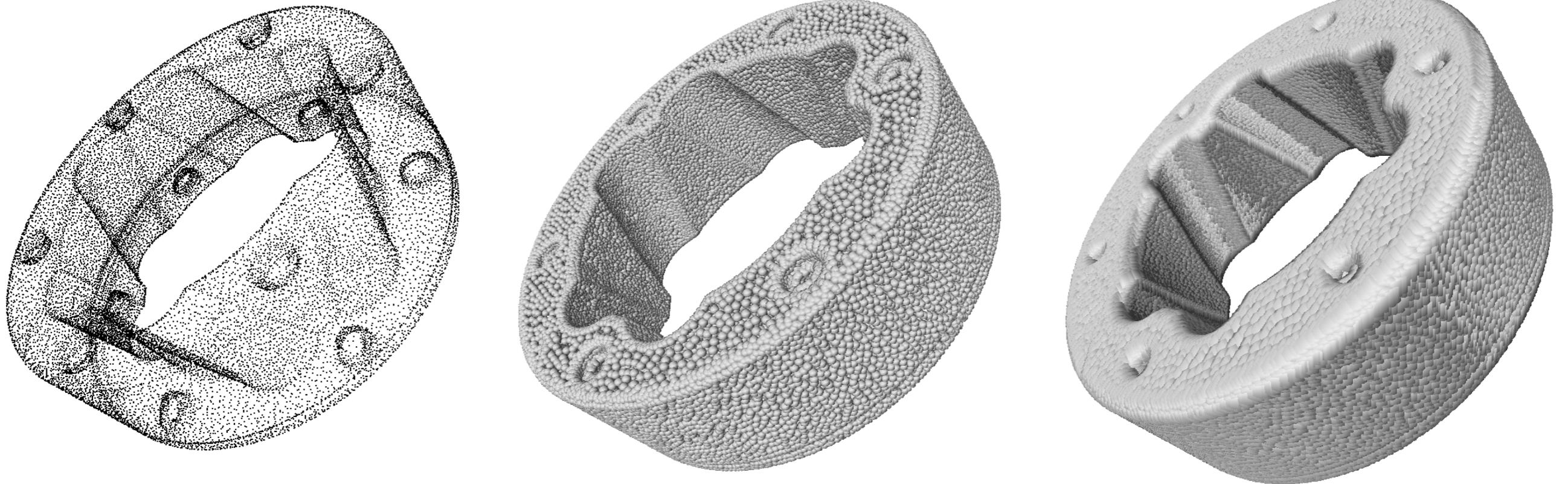
$K' \subset \mathbb{R}^d$  be such that  $d_H(K, K') < \kappa r_\mu(K)$  with  $\kappa < \min(\frac{\sqrt{5}}{2} - 1, \frac{\mu^2}{16+2\mu^2})$

Then for any  $d, d'$  s.t.

$$0 < d < \text{wfs}(K) \quad \text{and} \quad \frac{4\kappa r_\mu}{\mu^2} \leq d' < r_\mu - 3\kappa r_\mu$$

the hypersurfaces  $d_{K'}^{-1}(d')$  and  $d_K^{-1}(d)$  are isotopic.

# A reconstruction theorem



## Reconstruction theorem: (Weak version)

Let  $K \subset \mathbb{R}^d$  be a compact set s.t.  $r_\mu = r_\mu(K) > 0$  for some  $\mu > 0$ . Let  $K' \subset \mathbb{R}^{d'}$  be such that  $d_H(K, K') = \varepsilon < \kappa r_\mu(K)$  with  $\kappa < \frac{\mu^2}{5\mu^2 + 12}$ . Then for any  $d, d'$  s.t.

$$0 < d < \text{wfs}(K) \quad \text{and} \quad \frac{4\kappa r_\mu}{\mu^2} \leq d' < r_\mu - 3\kappa r_\mu$$

the offsets  $K'^{d'}$  and  $K^d$  are homotopy equivalent.

# Proof of the reconstruction theorem

- Separation of critical values:  $d_{K'}$  does not have any critical value in  $(\frac{4\varepsilon}{\mu^2}, r_\mu(K) - 3\varepsilon)$

$\Rightarrow$  it is enough to prove the theorem for  $d' = 4\varepsilon/\mu^2$ .

- We have  $\text{wfs}(K'^{d'}) \geq r_\mu(K) - 3\varepsilon - 4\varepsilon/\mu^2$  and

$$d_H(K, K'^{d'}) \leq \frac{4\varepsilon}{\mu^2} + \varepsilon$$

- The conclusion of the theorem holds as soon as

$$d_H(K, K'^{d'}) < \frac{1}{2} \min(\text{wfs}(K'^{d'}), \text{wfs}(K))$$

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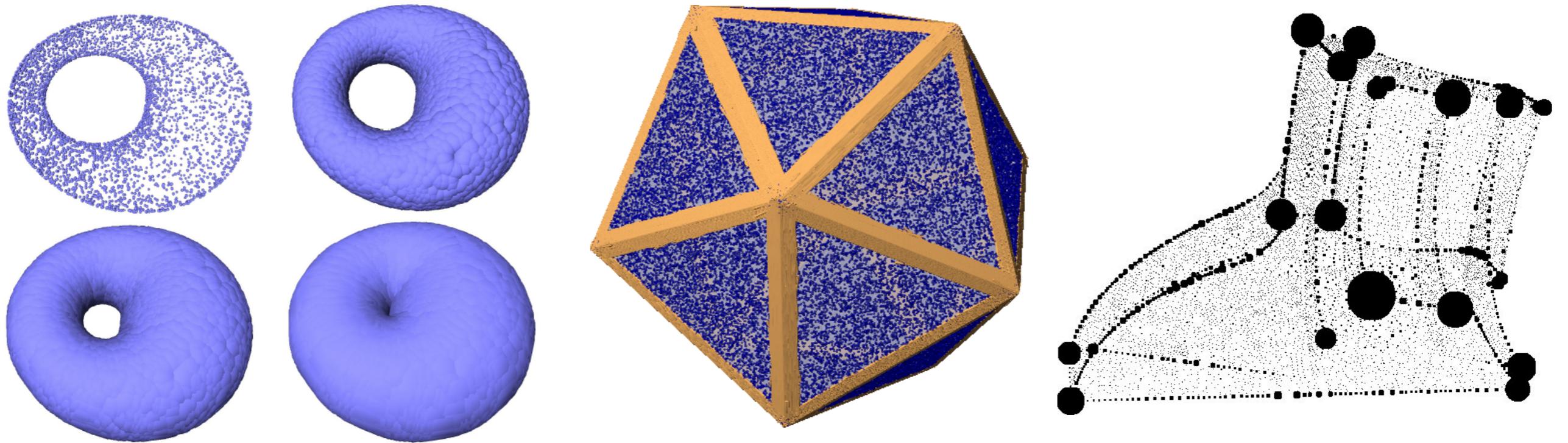
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This is exactly the assumption made on  $\kappa$ !

**Remark:** to get the isotopy one needs stability results for  $\nabla d_K \dots$

# Distance-based geometric inference



**Topological/geometric properties of the offsets of  $K$  are stable with respect to Hausdorff approximation:**

1. Topological stability of the offsets of  $K$  (CCSL'06, NSW'06).
2. Approximate normal cones (CCSL'08).
3. Boundary measures (CCSM'07), curvature measures (CCSLT'09), Voronoi covariance measures (GMO'09).

# Take-home messages

- Distance functions provide a powerful framework for robust geometric inference with theoretical guarantees:
  - for a wide class of (non smooth) shapes
  - in any dimension.
- In practice (for point clouds) the algorithms rely on the Voronoï diagram or the Delaunay triangulation  $\Rightarrow$  ok in 2D and 3D!
- But no efficient reconstruction algorithm in higher dimension...